

UNIVERSITY OF ILLINOIS AT SPRINGFIELD

CENTER FOR STATE POLICY AND
LEADERSHIP

Institute for Legislative, Administrative, and
Policy Studies

Guide to
Private Funding Sources for
Community, Government and
Policy Issues

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This report provides a guide to private funding sources for community, government and public policy issues. The information was obtained from resources available at the University of Illinois at Springfield's Nonprofit Resource Center (NRC).

The information contained in the report is limited to private foundations and trusts that have demonstrated an interest in community, government and public policy issues. The basis for including the respective funds is a compilation of the stated purpose and goal of the foundation or trust, the grant history of the fund, and the programs of interest specified by governing board. In addition, special attention is given to sources that provide funding for research, program development and operating support.

The report provides detailed information for each foundation or trust, including a complete description of the purposes and activities of each fund in order to assist the grantseeker in drafting the grant proposal. Only information relating to the foundation / trust's interest relative to community, government and public policy issues, is included. *In many cases the foundations have expansive areas of interest that extend beyond government and public policy, but do not detract from their willingness to fund research or development of e-government initiatives.*

When reading the detail page for each foundation, one should be aware that the geographic focus listed for each fund is not always strictly enforced. This information should not be used to exclude potential funding sources, as many foundations will ignore the restrictions for certain grant requests. For example, a foundation with a geographic focus limited to Chicago may be willing to provide funding for a unique project, or one that demonstrates the potential of providing benefits to a greater population, including those within their stated geographic focus area.

This file is in PDF format. The two search indices provided for this guide are accessible under the *bookmarks* tab. The first folder contains an alphabetical index of the names of the funding sources. When the Foundation/Trust is in a personal name, the alphabetical index is based on the last name. The second folder contains is an alphabetical listing of the program areas normally funded by the Foundations/Trusts. Click on the name of the Foundation/Trust to go to that section of the report.

Each folder may be collapsed or expanded. Click on + sign next to a folder to see the complete listing of funding sources. Click on the – sign next to a folder to collapse the listing.

Alcoa Foundation

Alcoa Corporate Ctr.

201 Isabella St.

Pittsburgh, PA 15212-5858

Telephone: (412) 553-2348

URL: <http://www.alcoa.com/global/en/community/foundation.asp>

Purpose and activities: Grants chiefly for education, civic and community development.

Program area(s): Community development; Education; Government/public administration.

Geographic focus: National; international

Types of support: Capital campaigns, Continuing support, Emergency funds, Equipment, General/operating support, Program development, Research, Seed money.

Limitations: Giving primarily in areas of company operations, national and international; emphasis on local communities: Davenport, IA, Evansville, IN, Massena, NY, Cleveland, OH, Pittsburgh, PA, Knoxville, TN, and Rockdale, TX. No support for political purposes.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) listing of additional sources and amount of support
- 4) copy of most recent annual report/audited financial statement/990
- 5) copy of IRS Determination Letter

Initial approach: Proposal

Copies of proposal: 1

Deadline(s): None

Final notification: 1 to 4 months

Company URL: <http://www.alcoa.com>

Amsted Industries Foundation

205 N. Michigan Ave., 44th Fl.

Chicago, IL 60601-5927

Telephone: (312) 645-1700

Contact: Shirley Whitsell

Fields of interest: Education; Government/public administration.

Geographic focus: National

Types of support: Building/renovation, Continuing support, General/operating support.

Limitations: Giving limited to areas of company operations, with emphasis on Chicago, IL; support also in AL, CA, CT, Washington, DC, DE, IN, KS, MD, MO, NE, PA, and VA. No grants to individuals.

Application information: Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) listing of additional sources and amount of support
- 4) listing of board of directors, trustees, officers and other key people and their affiliations
- 5) copy of most recent annual report/audited financial statement/990
- 6) copy of IRS Determination Letter

Initial approach: Proposal

Deadline(s): None; requests are reviewed monthly, about 15 days after end of month of receipt; budgeting for the next fiscal year begins Oct. 1

Anderson Foundation

480 W. Dussel Dr.

P.O. Box 119

Maumee, OH 43537-0119

Telephone: (419) 891-6404

Contact: Ms. Fredi Heywood, Fdn. Svcs. Admin.

Application address: 608 Madison Ave., Ste. 1540, Toledo, OH 43604

Additional tel.: (419) 243-1706

Purpose and activities: Grants primarily for community funds; support also for social service and community efforts.

Fields of interest: Community development; Education; Government/public administration; Higher education; Human services.

Geographic focus: Illinois; Indiana; Michigan; Ohio

Types of support: Annual campaigns, Building/renovation, Capital campaigns, General/operating support, Program development, Publication, Research Seed money.

Limitations: Giving primarily in the greater Toledo, OH, area, including Maumee and Columbus. Giving also to organizations located within the areas of the Anderson plants in the following cities:

Champaign, IL, Delphi and Dunkirk, IN, and Albion, Potterville, Webberville, and White Pigeon, MI. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) descriptive literature about organization
- 3) statement of problem project will address
- 4) qualifications of key personnel
- 5) listing of board of directors, trustees, officers and other key people and their affiliations
- 6) copy of IRS Determination Letter
- 7) copy of most recent annual report/audited financial statement/990
- 8) copy of current year's organizational budget and/or project budget
- 9) listing of additional sources and amount of support
- 10) contact person

Initial approach: Proposal not exceeding 5 pages

Copies of proposal: 1

Deadline(s): 3 weeks before board meetings which are usually held the 3rd Monday of the month March, June, September, and December

Final notification: Generally 3 months; depends on completeness of proposal

Aileen S. Andrew Foundation

10701 Winterset Dr.

Orland Park, IL 60462

Telephone: (708) 349-4445

Contact: Robert E. Hord, Jr., Pres.

Purpose and activities: Giving for higher education; support also for civic affairs and general charitable giving locally.

Fields of interest: General charitable giving; Government/public administration; Higher education.

Geographic focus: Illinois

Types of support: General/operating support.

Limitations: Giving primarily in Orland Park, IL. No support for taxable corporations, political organizations or private foundations. No grants to individuals (except scholarships); no loans.

Application information: Application forms are available for scholarship program. Applicants should submit the following:

- 1) descriptive literature about organization
- 2) copy of IRS Determination Letter

Initial approach: Letter of intent

Deadline(s): April 1 for scholarships
Final notification: May 1 for scholarships

The Armstrong Foundation

(Formerly The Texas Educational Association)

P.O. Box 2299

Natchez, MS 39121-2299

Telephone: (601) 442-0122

Contact: Thomas K. Armstrong, Pres.

FAX: (601) 442-4716

Purpose and activities: To support educational undertakings through financial assistance to schools, colleges, universities, and other educational mediums advocating the perpetuation of constitutional government. Grants only for educational programs on American ideals and traditional values; support for free market and free enterprise educational programs.

Fields of interest: Business/industry; Economics; Education; Government/public administration.

Geographic focus: National

Types of support: Conferences/seminars, Continuing support, General/operating support, Program development, Publication, Research.

Limitations: Giving on a national basis. No grants to individuals, or for capital or endowment funds; no loans.

Application information: Application form not required. Applicants should submit the following:

- 1) copy of IRS Determination Letter
- 2) copy of most recent annual report/audited financial statement/990
- 3) detailed description of project and amount of funding requested

Initial approach: Proposal

Copies of proposal: 1

Deadline(s): None

Final notification: 2 months

The George F. Baker Trust

477 Madison Ave., Ste., 1650

New York, NY 10022

Telephone: (212) 755-1890

Contact: Miss Rocio Suarez, Exec. Dir.

FAX: (212) 319-6316

Email: rocio@bakernye.com

Purpose and activities: Giving primarily for higher and secondary education, social services, civic affairs.

Fields of interest: Government/public administration; Higher education; Human services.

Geographic focus: National

Types of support: General/operating support.

Limitations: Giving primarily in the eastern U.S., with some emphasis on the New York, NY, area. No grants to individuals, or for scholarships; no loans.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) listing of additional sources and amount of support
- 3) signature and title of chief executive officer
- 3) copy of IRS Determination Letter

If applicant wishes material submitted returned in the event of a rejection, the application should so state. The trust will try to acknowledge in writing each application addressed specifically to it. As a result of the enormous number of applications usually received and a very limited number of grants, only those applicants who receive a grant will be notified.

Initial approach: Letter with brief outline of proposal

Copies of proposal: 1

Deadline(s): None

Final notification: Up to 6 months

BANK ONE Foundation

(Formerly First National Bank of Chicago Foundation)

1 BANK ONE Plz., Ste. 0308

Chicago, IL 60670

Telephone: (312) 407-8052

Contact: James E. Donovan, Treas.

Purpose and activities: Giving primarily for human services, including race relations; community development, civic affairs, and crime and law enforcement; education, especially business and other higher education.

Program area(s): The grantmaker has identified the following area(s) of interest:

1. **Community Improvement and Development:** The foundation's position with the United Way/Crusade of Mercy is the principal means of addressing a broad variety of social welfare needs. The foundation's community and neighborhood efforts are concentrated on community-based economic and housing development in low-income areas.
2. **Public Affairs:** The foundation supports civic organizations concerned with bringing about positive change in the areas of race and improved ethnic relations, improvement of the public sector, crime prevention, justice and law, and the environment and ecology.

Fields of interest: Civil rights; Civil rights, race/intergroup relations; Community development; Crime/law enforcement; Education; Government/public administration; Higher education; Minorities; Urban/community development.

Geographic focus: Illinois

Types of support: Annual campaigns, Building/renovation, Capital campaigns, Continuing support, General/operating support.

Limitations: Giving limited to the metropolitan Chicago, IL, area. No support to public agencies. No grants to individuals, research, publications, conferences, or multi-year operating pledges.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) listing of board of directors, trustees, officers and other key people and their affiliations
- 3) copy of IRS Determination Letter
- 4) copy of most recent annual report/audited financial statement/990
- 5) brief history of organization and description of its mission

Initial approach: Letter

Copies of proposal: 1

Deadline(s): None

Final notification: 3 months

Blum-Kovler Foundation

919 N. Michigan Ave., Ste. 2800

Chicago, IL 60611

Telephone: (312) 664-5050

Contact: Hymen Bregar, Secy.

Purpose and activities: Emphasis on social services, higher education; support also for public interest and civic affairs groups.

Fields of interest: Government/public administration; Higher education; Public policy, research.

Geographic focus: Illinois

Types of support: General/operating support.

Limitations: Giving primarily in the Chicago, IL, area.

Application information: Application form not required. Applicants should submit the following:

1) copy of IRS Determination Letter

Initial approach: Written request

Copies of proposal: 1

Deadline(s): None

Final notification: Varies

The Lynde and Harry Bradley Foundation, Inc.

P.O. Box 510860

Milwaukee, WI 53203-0153

Telephone: (414) 291-9915

Contact: Daniel P. Schmidt, Acting Pres.

FAX: (414) 291-9991

URL: <http://www.bradleyfdn.org>

Purpose and activities: Support for projects that cultivate a renewed, healthier, and more vigorous sense of citizenship, at home and abroad. Projects will reflect the assumption that free men and women are genuinely self-governing, personally responsible citizens, able to run their daily affairs without the intrusive therapies of the bureaucratic, social service state. Consequently, they will seek to reinvigorate and revive the authority of the traditional institutions of civil society - families, schools, churches, neighborhoods, and entrepreneurial enterprises - that cultivate and provide room for the exercise of citizenship, individual responsibility, and strong moral character. Projects reflecting this view of citizenship and civil society may be demonstrations with national significance; public policy research in economics, politics, culture, or foreign affairs; or media and public education undertakings. Local support is directed toward cultural programs, education, social services, medical and health programs, and public policy research.

Fields of interest: Education; Higher education; Political science; Public affairs; Public affairs, citizen participation; Public policy, research; citizenship.

Geographic focus: National; international

Types of support: Building/renovation, Equipment, General/operating support, Program development, Research.

Limitations: Giving primarily in Milwaukee, WI; giving also on a national and international basis. No grants to individual.

Application information: Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) statement of problem project will address
- 3) detailed description of project and amount of funding requested
- 4) qualifications of key personnel
- 5) copy of current year's organizational budget and/or project budget
- 6) copy of most recent annual report/audited financial statement/990
- 7) listing of additional sources and amount of support
- 8) copy of IRS Determination Letter

Inquiry letter should include items 1-2 from above. If the foundation determines the project to be within its policy guidelines, the applicant will receive a brochure describing the foundation's program and general guidelines and a basic checklist indicating information which the proposal should contain, including items 3-8 from above and completion of grantee tax-exempt status information form.

Initial approach: Letter of inquiry

Copies of proposal: 1

Deadline(s): Mar. 1, July 1, Sept. 1, and Dec. 1

Final notification: 3 to 5 months

Bridgestone/Firestone Trust Fund

(Formerly The Firestone Trust Fund)

50 Century Blvd.

Nashville, TN 37214

Telephone: (615) 872-1415

Contact: Bernice Csaszar, Admin.

FAX: (615) 872-1414

E-mail: bfstrustfund@bfusa.com

Purpose and activities: The major categories in which contributions are considered are: education, civic and community.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) Civic and Community: Contributions are made in this area to assure the availability of an adequate level of community services, sufficient housing and a healthy and pleasant environment for employees and their neighbors. Consideration is also given to examining new solutions to community and environmental problems. Assistance is given for: community and neighborhood improvements; environment and energy conservation; justice and law; housing and urban revitalization; civil rights and equal opportunity; voter registration and education; and job training.

2) Education: Contributions in this category are to assure the availability of trained and educated men and women; to encourage continuing research in areas relevant to the business of the corporation; and to promote standards of excellence. Assistance is given to: public and private higher education institutions; and education-related organizations which expand public knowledge of the free enterprise system.

Fields of interest: Civil rights, voter education; Community development, neighborhood development; Crime/law enforcement; Education; Employment, services; Federated giving programs; Health care; Higher education; Human services.

Geographic focus: National

Types of support: Building/renovation, Capital campaigns, Continuing support, General/operating support, Matching/challenge support, Program development, Research, Seed money.

Limitations: Giving primarily in areas of major company operations: AR, CO, CT, FL, IA, IL, IN, KY, LA, MI, NC, OH, OK, PA, SC, TN, TX, and UT. No support for religious or partisan political organizations or organizations that will use funds outside the U.S. No grants to individuals, or for equipment.

Application information: Application form not required.

Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) detailed description of project and amount of funding requested
- 3) population served
- 4) how project's results will be evaluated or measured
- 5) copy of IRS Determination Letter
- 6) listing of board of directors, trustees, officers and other key people and their affiliations
- 7) copy of most recent annual report/audited financial statement/990
- 8) copy of current year's organizational budget and/or project budget
- 9) listing of additional sources and amount of support

Initial approach: Letter (no more than 2 pages)

Copies of proposal: 1

Deadline(s): None

Brubaker Charitable Trust

9 Crab Apple Ln.

Rockford, IL 61107

Contact: John D. Brubaker, Tr.

Purpose and activities: Giving primarily for community development.

Fields of interest: Community development; Federated giving programs; Human services.

Geographic focus: Illinois

Types of support: General/operating support.

Limitations: Giving primarily in IL. No grants to individuals.

Application information:

Initial approach: Letter

Deadline(s): None

The Carthage Foundation

1 Oxford Ctr.

301 Grant St., Ste. 3900

Pittsburgh, PA 15219-6401

Telephone: (412) 392-2900

Contact: Micahel W. Gleba, Treas.

URL: <http://www.scaife.com>

Purpose and activities: Grants primarily for public policy research, particularly in the areas of government and international affairs.

Fields of interest: Crime/law enforcement; Government/public administration; International affairs; Political science; Public policy, Research.

Geographic focus: National

Types of support: General/operating support.

Limitations: No grants to individuals.

Application information: The foundation does not issue a separate program policy statement or grant application guidelines. The foundation acknowledges receipt of proposals. Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) copy of most recent annual report/audited financial statement/990
- 4) copy of IRS Determination Letter
- 5) signature and title of chief executive officer
- 6) additional materials/documentation

Initial approach: Letter

Copies of proposal: 1

Deadline(s): None

Final notification: 1 to 3 weeks

The Annie E. Casey Foundation

701 St. Paul St.
Baltimore, MD 21202
Telephone: (410) 547-6600
Contact: Douglas W. Nelson, Pres.
FAX: (410) 547-6624
E-mail: webmail@aecf.org
URL: <http://www.aecf.org>

Purpose and activities: The primary mission of the foundation is to foster public policies, human service reforms, and community supports that more effectively meet the needs of today's vulnerable children and families. The foundation makes grants that help states, cities, and communities fashion more innovative, cost-effective responses to these needs.

Program area(s): The grantmaker has identified the following area(s) of interest:

Assessing New Federalism: The foundation is leading a consortium of philanthropies that are providing funds to the Urban Institute for a comprehensive, nonpartisan research project to help policymakers, program administrators, the news media, and other audiences distinguish promising state innovations from those less likely to improve the prospects of children and families.

Fields of interest: Economically disadvantaged; Education; Human services; Public affairs; Urban/community development.

Geographic focus: National

Types of support: Program development, Research, Technical assistance.

Limitations: No grants to individuals, or for capital projects.

Application information: Most grantees are by invitation. Applicants should submit the following:

- 1) population served
- 2) results expected from proposed grant
- 3) brief history of organization and description of its mission
- 4) detailed description of project and amount of funding requested

Initial approach: Letter no more than three pages

Final notification: Reply approximately 30 days after receiving letter

Caterpillar Inc. Corporate Giving Program

100 N.E. Adams St.
Peoria, IL 61629-1480

Telephone: (309) 675-1000
Contact: Maryann Morrison, Mgr., Corp. Support Progs.

Purpose and activities: Makes charitable contributions to nonprofit organizations directly.

Fields of interest: Community development; Education; Human services; Public affairs.

Types of support: Capital campaigns, Donated equipment, General/operating support, Program development.

Limitations: Giving primarily in areas of company operations; giving also to national organizations. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) listing of additional sources and amount of support
- 4) copy of most recent annual report/audited financial statement/990
- 5) copy of IRS Determination Letter
- 6) listing of board of directors, trustees, officers and other key people and their affiliations

Initial approach: Proposal to nearest company facility

Copies of proposal: 1

Deadline(s): None

Final notification: Following review

Celanese Americas Foundation

(Formerly Hoechst Corporation Foundation)

c/o Celanese Americas Corp.

86 Morris Ave.

Summit, NJ 07901

Contact: Andrea Stine, Dir., Comm. Svcs.

Purpose and activities: Support for civic and public affairs. Grants are based on an organization's influence on the community and the level of Celanese employee involvement.

Fields of interest: Government/public administration; Higher education; Minorities; Public affairs.

Geographic focus: National

Types of support: Capital campaigns, Continuing support, Employee matching gifts, General/operating support, Program development, Research.

Limitations: Giving primarily in headquarters city and national operating locations; national organizations also considered.

Application information: Currently, the foundation is not making any new commitments and is in the process of phasing down operations. Application form not required.

Applicants should submit the following:

- 1) copy of most recent annual report/audited financial statement/990
- 2) copy of IRS Determination Letter

John Brown Cook Foundation, Inc.

c/o Boston Safe Deposit & Trust Co.

1 Boston Pl.

Boston, MA 02108

Contact: Leo C. McKenna

Mailing address: 32 S. Main St., Hanover, NH 03755, tel.:
(603) 643-9000

Purpose and activities: Grants to institutions, including colleges and universities, educational associations, and public policy research organizations relating to preservation of the American economic system and national defense.

Fields of interest: Business/industry; Community development; Economics; Education; Government/public administration; Higher education; Public affairs, citizen participation; Public policy, research; citizenship.

Geographic focus: National

Types of support: Conferences/seminars, Continuing support, General/operating support, Research, Seed money.

Limitations: No grants to individuals

Application information:

Initial approach: Telephone

Deadline(s): None

Daimler Chrysler Corporation Fund

1000 Chrysler Dr.

Auburn Hills, MI 48326-2766

Telephone: (248) 512-2500

Contact: Brian Glowiak, V.P. and Secy.

E-mail: mek@dcx.com

URL: <http://www.fund.daimlerchrysler.com>

Purpose and activities: Provides support to organizations that address issues such as future workforce, community vitality, the marketplace, and public policy leadership, and to organizations with which Daimler Chrysler and its employees are involved. Organizations must be nonprofit, tax-exempt, and located in areas where the sponsor has major operations and where its employees live and work. Will also recognize selected regional, national, and international programs that address the primary issues the company wishes to support.

Program area(s): The grantmaker has identified the following area(s) of interest:

Community Vitality: The fund is interested in programs in Daimler Chrysler communities that build social and economic stability and contribute to making these communities attractive, safe places for the company's employees and their neighbors to live. Emphasis is on programs that expand individual potential, encourage appreciation of arts, culture and human diversity, and address a variety of social issues, particularly as they impact underserved populations.

Fields of interest: Community development, neighborhood development; Education, community/cooperative; Employment, services; Government/public administration.

Geographic focus: National

Types of support: Continuing support, General/operating support, Program development.

Limitations: Giving primarily in areas where company employees live and work, including AL, AZ, DE, IL, IN, MI, MO, NY, OH, and WI. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) copy of current year's organizational budget and/or project budget
- 3) detailed description of project and amount of funding requested
- 4) listing of additional sources and amount of support
- 5) listing of board of directors, trustees, officers and other key people and their affiliations
- 6) copy of most recent annual report/audited financial statement/990
- 7) copy of IRS Determination Letter

Also include an explanation of any relationship to a United Way organization or a governmental agency and Employer Identification Number (EIN).

Initial approach: 2- to 3-page letter

Dillon Foundation

P.O. Box 537

Sterling, IL 61081

Telephone: (815) 626-9000

Contact: Peter W. Dillon, Pres.
FAX: (815) 626-4000

Purpose and activities: Support for local community economic development and civic and urban affairs; technology and other education.

Fields of interest: Community development; Education; Employment; General charitable giving; Government/public administration.

Geographic focus: Illinois

Types of support: Building/renovation, Capital campaigns, Continuing support, Equipment, General/operating support, Land acquisition, Matching/challenge support, Program development, Seed money.

Limitations: Giving primarily in the Sterling, IL, area. No grants to individuals; no loans.

Application information: Application form not required.

Initial approach: Letter

Copies of proposal: 1

Final notification: As soon as possible

Ben B. and Joyce E. Eisenberg Foundation

12400 Wilshire Blvd., Ste. 1250

Los Angeles, CA 90025

Telephone: (310) 820-1232

Contact: Richard A. Bender, Secy.-Treas.

Purpose and activities: Support primarily for Jewish education and Jewish giving; support also for medical research and care facilities and civic affairs.

Fields of interest: Government/public administration; Health care; Health organizations; Higher education; Israel; Jewish agencies & temples; Medical research.

Geographic focus: National

Types of support: Annual campaigns, Continuing support, Research.

Limitations: Giving primarily in the U.S.; some giving also in Israel.

Application information: Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) copy of IRS Determination Letter
- 4) brief history of organization and description of its mission

Initial approach: Letter of intent

Elco Charitable Foundation

P.O. Box 7009
Rockford, IL 61125-7009
Telephone: (815) 391-5593
Contact: Lloyd Everard, Pres.

Purpose and activities: Giving for federated giving programs, youth organizations and services, higher education, and cultural organizations.

Fields of interest: Federated giving programs; General charitable giving; Government/public administration; Higher education; Human services.

Geographic focus: Illinois

Types of support: Building/renovation, Capital campaigns, General/operating support.

Limitations: Giving primarily in Rockford, IL. No grants to individuals.

Application information: Application form not required.
Initial approach: Letter

Deadline(s): None
Sponsoring company information:
Elco Textron Inc.
Rockford, IL
Parent company: Textron Inc.

Evanston Community Foundation

828 Davis St., Ste. 301
Evanston, IL 60201
Telephone: (847) 492-0990
Contact: Sara L. Schastok, Exec. Dir.
FAX: (847) 492-0904
E-mail: Schastok@evcommfdn.org, or info@evcommfdn.org
URL: <http://www.evcommfdn.org>

Purpose and activities: Supports collaborative, innovative projects and evidence of plans to sustain funding.

Fields of interest: Aging; Aging centers/services; Community development, neighborhood development; Disabled; Economically disadvantaged; Human services; Leadership development; Minorities; Minorities/immigrants centers/services; Public affairs, citizen participation.

Geographic focus: Illinois

Types of support: Curriculum development, Program development, Seed money.

Limitations: Giving limited to Evanston, IL. No grants to individuals.

Application information: Application form required.

Applicants should submit the following:

1) additional materials/documentation

Initial approach: Letter

Copies of proposal: 12

Deadline(s): Mar. 15

Final notification: June 1

The First Union Foundation

c/o Wachovia Corp.

301 S. Tryon St., TW-11

Charlotte, NC 28288-0143

Telephone: (704) 374-6649

Contact: Shannon McFadden, Dir.

Local bank addresses:

CT, NJ, NY: Yvonne Calcagno, 370 Scotch Rd., Trenton, NJ 08628, tel.: (609) 530-7357.

FL: Connie Smith, 225 Water St., 7th Fl., FL0670, Jacksonville, FL 32202, tel.: (904) 489-3268.

DC, GA, MD, NC, SC, VA: Robby Russell, 310 S. Tryon St., Charlotte, NC 28288-0143, tel.: (704) 374-4912, DE

PA: Kevin Dow, 1339 Chestnut St., 13th Fl., Philadelphia, PA 19107, tel.: (267) 321-7664, First Union Securities, Inc.: Tim Holtz, 10700 Wheat 1st

Dr., Glen Allen, VA 23060, tel.: (804) 965-2415 FAX: (704) 374-2484.

URL: http://personalfinance.firstunion.com/pf/corp/0,2898,3_66_624,00.html

Purpose and activities: Support primarily for education, community development, health and human services.

Program area(s): The grantmaker has identified the following area(s) of interest:

Community Development: Priority will be given to development efforts that transform blighted or undeveloped areas into thriving neighborhoods and business centers. Contributions will be made to support: Affordable Housing -- to promote community building and provide affordable housing

through shelters and single and multi-family housing; Small Business Ownership -- to assist individuals with small business start-up and management; Economic Development -- to promote economic vitality and spur job creation; and Financial Literacy -- to provide budgeting and homeownership counseling to individuals.

Fields of interest: Aging; Aging, centers/services; Community development; Disabled; Economically disadvantaged; Government/public administration; Higher education; Human services; Minorities; Minorities/immigrants, centers/services; Public affairs, citizen participation; Urban/community development; Voluntarism promotion; citizenship.

Geographic focus: National

Types of support: Annual campaigns, Building/renovation, Capital campaigns, Employee matching gifts, General/operating support, Program development, Seed money.

Limitations: Giving limited to CT, Washington, DC, DE, FL, GA, MD, NC, NJ, NY, PA, SC, TN, and VA. No support for political, organizations, No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) copy of current year's organizational budget and/or project budget
- 3) detailed description of project and amount of funding requested
- 4) qualifications of key personnel
- 5) name, address and phone number of organization
- 6) copy of IRS Determination Letter
- 7) copy of most recent annual report/audited financial statement/990
- 8) listing of board of directors, trustees, officers and other key people and their affiliations
- 9) population served
- 10) timetable for implementation and evaluation of project
- 11) listing of additional sources and amount of support
- 12) how project's results will be evaluated or measured

Initial approach: Proposal to the nearest First Union National Bank

Copies of proposal: 1

Deadline(s): None

The Ford Foundation

320 E. 43rd St.

New York, NY 10017

Telephone: (212) 573-5000

Contact: Secy.

FAX: (212) 351-3677

E-mail: office-secretary@fordfoundation.org

URL: <http://www.fordfound.org>

Purpose and activities: The foundation's mission is to serve as a resource for innovative people and institutions worldwide. Its goals are to: strengthen democratic values, reduce poverty and injustice, promote international cooperation, and advance human achievement. Grants are made primarily within three broad categories: (1) asset building and community development; (2) education, media, arts and culture; and (3) peace and social justice. Local needs and priorities, within these subject areas, determine program activities in individual countries.

Program area(s) include:

1. Community development – the foundation seeks to improve the quality of life and opportunities for positive change in urban and rural communities. The foundation's goal is to develop community-based institutions that mobilize and leverage philanthropic capital, investment capital, knowledge and skills, natural resources, and faith in a responsible and fair manner.
2. Economic Development: The unit focuses on two fields: 1) In development finance and economic security, the foundation supports organizations that help create employment opportunities and help people acquire, develop, and maintain savings, investments, businesses, homes, land, and other assets. 2) In workforce development, the foundation supports organizations and practices that help low-income people develop marketable job skills and acquire and retain reliable employment that provides livable wages.
3. Governance and Civil Society: This unit works in two fields: 1) In governance, the foundation fosters effective, transparent, accountable, and responsible governmental institutions guided by the rule of law and dedicated to reducing inequality. 2) In civil society, the foundation's goal is to strengthen the civic, political, and economic participation of people and groups in planning the future. The unit supports efforts to improve government performance, build public awareness of budget and tax issues, and improve policymaking. Through work on civil society, grantmaking seeks to increase participation in public affairs beyond the act of voting. The unit also works to strengthen civil-society organizations while stimulating the practice of philanthropy to guarantee their long-term sustainability.

Fields of interest: Community development; Government/public administration; Minorities/immigrants, centers/services; Philanthropy/voluntarism; Public affairs, citizen participation; Public policy, research; Urban/community development.

Geographic focus: National; international

Types of support: Consulting services, Continuing support, General/operating support, Program development, Program evaluation, Program-related investments/loans, Publication, Research, Seed money, Technical assistance.

Limitations: Giving on an international basis. No support for programs for which substantial support from government or other sources is readily available. No grants for routine operating costs.

Application information: Prospective applicants are advised to review the foundation's Web site for information or current funding guidelines. Foreign applicants should contact foundation for

addresses of its overseas offices, through which they must apply. Application form not required. Applicants should submit the following:

- 1) statement of problem project will address
- 2) detailed description of project and amount of funding requested
- 3) qualifications of key personnel
- 4) copy of current year's organizational budget and/or project budget
- 5) brief history of organization and description of its mission
- 6) timetable for implementation and evaluation of project

Initial approach: Brief letter of inquiry

Copies of proposal: 1

Deadline(s): None

Final notification: Initial indication as to whether proposal falls within program interests within 6 weeks.

Ford Motor Company Fund

1 American Rd.

P.O. Box 1899

Dearborn, MI 48126

Telephone: (313) 248-4745

Contact: Sandra E. Ulsh, V.P. and Exec. Dir.

Additional tel.: (888) 313-0102

URL:

<http://www.ford.com/en/ourCompany/corporateCitizenship/fordMotorCompanyFund/default.htm>

Purpose and activities: Support for education, civic affairs and public policy.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) Civic Activities and Public Policy: The Ford Motor Company seeks to provide a work environment that encourages employees to participate in communities where it does business. This includes cities where the company has facilities and employees, metropolitan areas where subsidiaries play an important role in the economy, and communities where dealers sell and service company products. The foundation wishes to participate in programs that improve quality of life, assist our nation's youth, promote safety awareness, and increase opportunities for minorities. Much of this work is done through Ford Motor Company's Community Relations Committees (CRCs), that exist in almost every community where the company has facilities.
- 2) Educational Programs: The fund is particularly interested in programs that rethink and reinvent themselves for the purpose of building and communicating the best educational practices. The fund's continued support of education is intended to: 1) strengthen the employee-school relationship; 2) provide quality educational programming based on cultural arts programs; 3) increase the quality and quantity of enrichment programs; 4) support research in science, math, health care, and engineering; 5) encourage educational research for the benefit of society and

the economy; and 6) enhance educational opportunities for minorities toward advanced degrees in manufacturing and technology.

Fields of interest: Community development; Education; Government/public administration; Higher education; Minorities; Public affairs.

Geographic focus: National

Types of support: Annual campaigns, Capital campaigns, Conferences/seminars, Continuing support, Equipment, General/operating support, Program development, Publication, Research.

Limitations: Giving primarily in areas where plants and offices are located and members of the community are employed, with special emphasis on Detroit, MI. No political or fraternal organizations, private schools, profit making enterprises. No grants to individuals, debt reduction, fundraising, operating expenses; program-related investments or donation of vehicles.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) listing of additional sources and amount of support
- 4) explanation of why grantmaker is considered an appropriate donor for project

Initial approach: Letter

Copies of proposal: 1

Deadline(s): None

Final notification: Within 3 months

The Harry Frank Guggenheim Foundation

527 Madison Ave., 15th Fl.

New York, NY 10022-4304

Telephone: (212) 644-4907

Contact: Staff

FAX: (212) 644-5110

E-mail: hfgacf@aol.com

URL: <http://www.hfg.org>

Purpose and activities: Grants for research projects at the postdoctoral level (though not necessarily requiring a Ph.D.) directed toward providing a better understanding of violence, aggression, and dominance in relation to social change; Dissertation Fellowship program to support individuals only during the writing of their Ph.D. thesis; research grants can be applied for directly. Primary areas of support include anthropology, biological sciences, sociology, history, political science, and psychology.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) **Dissertation Fellowships:** A small number of dissertation fellowships are awarded each year to individuals who will complete the writing of the dissertation within the award year on subjects related to violence, aggression and dominance. These fellowships of \$15,000 each are designed to contribute to the support of the doctoral candidate to enable him or her to complete the thesis in a timely manner. Applications are evaluated in comparison with each other and not in competition with the postdoctoral research proposals. Applicants may be citizens of any country and studying at colleges or universities in any country.
- 2) **Research Grants:** The foundation welcomes proposals from any of the natural and social sciences and the humanities that promise to increase understanding of the causes, manifestations, and control of violence, aggression, and dominance. Particular questions of interest concern violence, aggression, and dominance in relation to social change, the socialization of children, intergroup conflict, drug trafficking and use, family relationships, and investigations of the control of aggression and violence. The foundation awards research grants to individuals for individual projects in the range of \$15,000 to \$35,000 a year for periods of one or two years.

Fields of interest: Civil rights, race/intergroup relations; Government/public administration; Minorities; Political science; Public affairs; Public policy, research.

Geographic focus: National; international

Types of support: Fellowships, Grants to individuals, Research.

Limitations: Giving on a national and international basis. No funds for overhead costs of institutions, travel to professional meetings, publications, conferences (except for those organized by the foundation), subsidiaries, self-education, elaborate fixed equipment, or pre-doctoral support (apart from that indirectly involved in research assistantships and except for a special program of support for dissertation writing).

Application information: Career Development Awards program has been discontinued. New program: Dissertation Fellowship. Application form required.

Initial approach: Letter or telephone

Copies of proposal: 4

Deadline(s): Feb. 1 for Ph.D. support; Aug. 1 for research grants

Final notification: Within 3 days of Board meetings (June and December)

HCR Manor Care Foundation

(Formerly Manor Care Foundation, Inc.)

333 N. Summit St.

P.O. Box 10086

Toledo, OH 43699-0086

Telephone: (419) 252-5989

Contact: Jennifer Steiner, Exec. Dir.; or Amy Kautz, Asst.

FAX: (419) 252-5521
E-mail: jsteiner@hcr-manorcare.com
URL: <http://www.hcr-manorcare.org/>

Purpose and activities: The mission of the HCR Manor Care Foundation is to enhance the quality of life in the communities it serves. The Foundation will achieve this mission by supporting: community-based services addressing the needs of the elderly, research and education related to issues affecting the elderly, and organizations assisting those persons requiring post-acute services.

Fields of interest: Aging, centers/services; Alzheimer's disease; Geriatrics; Geriatrics research; Residential/custodial care, senior continuing care.

Geographic focus: National

Types of support: Continuing support, Matching/challenge support, Program development, Research, Seed money.

Limitations: Giving restricted to the states in which Manor Care operates (currently in 32 states). No grants to individuals, or for building, equipment, or capital campaigns, fundraising events, or advertising; no multi-year awards.

Application information: Visit the foundation's website. Application form required.
Copies of proposal: 1

Holmes & Narver Inc. Corporate Giving Program

c/o Corp. Contribs.
999 Town & Country Rd.
Orange, CA 92868

Purpose and activities: Holmes & Narver makes charitable contributions to nonprofit organizations involved with government and public administration. Support is given on a national basis.

Fields of interest: Government/public administration.

Geographic focus: National

Types of support: General/operating support, Scholarship funds.

Limitations: Giving on a national basis.

Application information: Application form not required. Applicants should submit the following:
1) detailed description of project and amount of funding requested

Initial approach: Proposal to headquarters

Copies of proposal: 1
Final notification: Following review
Sponsoring company information:
Holmes & Narver Inc.
Orange, CA
Company URL: <http://www.hninc.com>

The Ingersoll Foundation

707 Fulton Ave.
Rockford, IL 61103
Contact: John A. Howard, Pres.
Application address: 934 Main St., Rockford, IL 61103,
tel.: (815) 964-5819

Purpose and activities: Support for local organizations whose activities include direct involvement in character education and/or citizenship education; grants also to organizations outside the Rockford, IL, area that have a national impact.

Fields of interest: Education; Human services; Public affairs, citizen participation; Youth development, citizenship.

Geographic focus: Illinois; New York

Types of support: Annual campaigns, Conferences/seminars, Continuing support, General/operating support, Grants to individuals, Program development, Publication, Seed money.

Limitations: Giving primarily in IL and NY. No grants to individuals, or research.

Application information: Application form required.
Initial approach: Letter or telephone
Copies of proposal: 1

Deadline(s): Sept. 30
Final notification: 3 months for requests submitted between
Sept. and Apr. 1

International Paper Company Contributions Program

2 Manhattanville Rd.
Purchase, NY 10577
Telephone: (914) 397-1581
URL: http://www.internationalpaper.com/our_world/philanthropy/index.html

Purpose and activities: As a complement to its foundation, International Paper also makes charitable contributions to nonprofit organizations directly. Support is given on an international basis.

Fields of interest: Community development; Human services; Public affairs.

Geographic focus: National; international

Types of support: Donated products, General/operating support, Sponsorships.

Limitations: Giving on an international basis in areas of company operations. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:
1) detailed description of project and amount of funding requested

Initial approach: Proposal to nearest company facility

Copies of proposal: 1

Deadline(s): None

Final notification: 2 to 3 weeks

Johnson Controls Foundation

5757 N. Green Bay Ave.

P.O. Box 591, M.S. X-46

Milwaukee, WI 53201

Telephone: (414) 524-2296

Contact: Valerie Adisek, Fdn. Coord.

URL: <http://www.johnsoncontrols.com/corpvalues/foundation.htm>

Purpose and activities: Grants for higher education; community funds; social services, including aid to the handicapped, care of children, and the aged; and civic organizations.

Program area(s): The grantmaker has identified the following area(s) of interest:

1. Civic Activities: This category includes assistance to programs in the areas of justice and law, community and neighborhood improvement, ecology, civil rights and equal opportunity, citizenship, and safety.
2. Education: Contributions in this category are made to public and private higher educational institutions, adult education programs, and education-related organizations, including those which seek to increase public knowledge of economics.

Fields of interest: Aging; Aging, centers/services; Disabled; Government/public administration; Human services.

Geographic focus: National

Types of support: Annual campaigns, Building/renovation, Capital campaigns, Continuing support, General/operating support, Matching/challenge support, Seed money.

Limitations: No grants to individuals, deficit financing, equipment, land acquisition, special projects, research, publications.

Application information: Employee-related scholarship awards are paid directly to institutions and not to individuals. Application form not required. Applicants should submit the following:

- 1) copy of IRS Determination Letter
- 2) brief history of organization and description of its mission
- 3) listing of board of directors, trustees, officers and other key people and their affiliations
- 4) detailed description of project and amount of funding requested
- 5) geographic area to be served
- 6) copy of current year's organizational budget and/or project budget
- 7) copy of most recent annual report/audited financial statement/990
- 8) listing of additional sources and amount of support

Among factors used in evaluating proposals are organization's general structure, objectives, management capacity, relationship to community, population served, and position relative to organizations performing similar functions.

Copies of proposal: 1

Deadline(s): None

Final notification: Up to 120 days

William T. Kemper Foundation

P.O. Box 13095

Kansas City, MO 64199-3095

Telephone: (816) 234-2985

Contact: Michael D. Fields, Exec. Dir.

Purpose and activities: Support primarily for civic improvements, and human services.

Fields of interest: Community development; Human services.

Geographic focus: Illinois; Kansas; Missouri

Types of support: Building/renovation, Capital campaigns, Continuing support, Equipment, General/operating support, Program development, Program-related investments/loans,

Limitations: Giving primarily in the Midwest with emphasis on MO and surrounding areas. No support for private foundations. No grants to individuals.

Application information: Guidelines available upon request. Application form not required.

Applicants should submit the following:

- 1) copy of IRS Determination Letter
- 2) statement of problem project will address
- 3) timetable for implementation and evaluation of project
- 4) brief history of organization and description of its mission
- 5) how project's results will be evaluated or measured
- 6) detailed description of project and amount of funding requested
- 7) copy of current year's organizational budget and/or project budget
- 8) copy of most recent annual report/audited financial statement/990
- 9) additional materials/documentation
- 10) listing of additional sources and amount of support
- 11) listing of board of directors, trustees, officers and other key people and their affiliations

Grants must be for more than \$500 and most will be for one year, but the board will consider requests for funding up to five years. A grant of longer than one year is conditional, based on review and evaluation of the contributions committee. Recipients will be asked to submit periodic written progress reports. When a grant expires, the recipient must submit a written narrative report and an accounting of all disbursements.

Initial approach: Proposal with proof of nonprofit status

Copies of proposal: 1

Deadline(s): None

Albert A. List Foundation, Inc.

The Chesterfield Suites

180 W. 80th St., Ste. 213

New York, NY 10024-6301

Contact: Viki Laura List, Pres.

URL: <http://fdncenter.org/grantmaker/listfdn/>

Purpose and activities: Giving to organizations that foster citizen participation in democratic process. Supports programs that share the commitment to: a society free of ageism, classism, homophobia, racism, religious prejudice, sexism and discrimination against those who are physically or mentally challenged; community involvement; economic justice; involving youth in the process of social change; alliances that go beyond traditional, short-term coalition building around a specific action, to sustained collaboration on issues of broad social and economic concerns; progressive, proactive policies that speak to the needs of broad sectors of society; and solutions to ongoing and emerging problems that enable us to live more harmoniously with our natural environment and with each other.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) Democracy and Citizen Participation Program: The program focuses on: 1) Campaign Finance Reform - projects that use a range of strategies to reduce the role of money in political campaigns;
- 2) Voter Education and Participation (in accordance with Internal Revenue Code section 501(c)(3) and 4945(f)) - projects that encourage increased voter participation in all elections and ballot measures, particularly among young people and other populations that traditionally have low voter turnout;
- 3) New Problems/New Solutions: Reductions in government spending and the shift in decision making to states and cities are creating new opportunities for organizing change strategies. New government and corporate policies and practices threaten job security, health care, educational opportunity and environmental protection.

The List Foundation supports groups working for social change that seek to address these new challenges. Specifically, the foundation funds programs that enable social-change groups to: 1) acquire resources for training and technical assistance to strengthen organizational management and operations; 2) explore and implement new ways of negotiating differences with government and corporations; 3) redefine problems and change strategies so that former contenders might find new, mutually beneficial solutions; and 4) test and implement new ways to work more effectively with each other, within and across issues and organizations.

Fields of interest: Civil liberties, first amendment; Civil rights; Civil rights, voter education; Public affairs; Public affairs, citizen participation; Public affairs, election regulation; Public affairs, political organizations.

Geographic focus: National

Types of support: Conferences/seminars, Continuing support, General/operating support, Internship funds, Matching/challenge support, Program development, Research, Seed money, Technical assistance.

Limitations: No support for political organizations, local community fund drives, or ongoing programs that are not essentially innovative. No grants to individuals, or for annual giving, building or capital funds.

Application information: The foundation will cease grantmaking in May, 2005. Unsolicited applications will not be accepted.

Robert R. McCormick Tribune Foundation
(Formerly Robert R. McCormick Charitable Trust)
435 N. Michigan Ave., Ste. 770
Chicago, IL 60611
Telephone: (312) 222-3512
Contact: Prog. Dirs.

FAX: (312) 222-3523

E-mail: rrmtf@tribune.com

URL: <http://www.rrmtf.org>

Purpose and activities: Giving primarily to improve the social and economic environment; to encourage a free and responsible discussion of issues affecting the nation; to enhance the effectiveness of American education; and to stimulate responsible citizenship.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) **Citizenship:** Designed to promote patriotism and service to country, and encourage effective philanthropy volunteerism. Giving limited to the metropolitan Chicago, IL area.
- 2) **Communities:** Designed to combine the efforts of the foundation and program partners to increase local philanthropy, and improve the social and economic conditions of the community. Giving limited to Phoenix, AZ, Denver, CO, Orlando, FL, Chicago, IL, and 17 other cities. For information contact the foundation.

Fields of interest: Civil liberties, first amendment; Community development; Economically disadvantaged; Employment; Government/public administration; Minorities; Public affairs, citizen participation Voluntarism promotion; Youth development, citizenship.

Geographic focus: National

Types of support: Building/renovation, Continuing support, General/operating support, Program development, Program evaluation, Program-related investments/loans, Research, Seed money, Technical assistance.

Limitations: Giving primarily in the metropolitan Chicago, IL, area; except for Journalism Program which gives on a national basis and in Latin America; and communities program which operates in: Phoenix, AZ, Anaheim, Escondido, Los Angeles, Sacramento and San Diego, CA, Denver, CO, Fort Lauderdale and Orlando, FL, Atlanta, GA, Chicago, IL, Indianapolis, IN, Manhattan, KS, New Orleans, LA, Boston and Lowell, MA, New York, NY, Cleveland, OH, Philadelphia and York, PA, Dallas, Houston, and El Paso, TX, and Newport News, VA. No grants to individuals, or for endowment funds, scholarships, or single events.

Publications: Annual report (including application guidelines), application guidelines, informational brochure (including application guidelines).

Application information: Application form required for Communities Program grants.

Applicants should submit the following:

- 1) copy of IRS Determination Letter
- 2) detailed description of project and amount of funding requested
- 3) results expected from proposed grant
- 4) copy of most recent annual report/audited financial statement/990

- 5) listing of board of directors, trustees, officers and other key people and their affiliations
- 6) listing of additional sources and amount of support
- 7) signature and title of chief executive officer
- 8) additional materials/documentation
- 9) copy of current year's organizational budget and/or project budget
- 10) contact person
- 11) principal source of support for project in the past

Application form should be concerning the communities program only.

Initial approach: Proposal and letter of inquiry

Copies of proposal: 1

Deadline(s): Varies

Final notification: 10th of month after board meetings (March, June, September, December).

Metropolitan Life Foundation

1 Madison Ave.

New York, NY 10010-3690

Telephone: (212) 578-6272

Contact: Sibyl Jacobson, Pres. and C.E.O.

FAX: (212) 685-1435

URL: <http://www.metlife.com/Companyinfo/Community/Found/index.html>

Purpose and activities: To make donations for higher education, civic purposes, social services; grants also for urban development, including public policy; also makes program-related investments.

Program area(s): The grantmaker has identified the following area(s) of interest:

Civic Affairs: Grants are specifically targeted to programs that enhance the quality of life for the individual and the community. Focus is placed on community revitalization, equal opportunity and job skills development for minorities, community services, family services, law and justice, child welfare, youth organizations, and the disadvantaged.

Geographic focus: National

Types of support: Continuing support, General/operating support, Grants to individuals, In-kind gifts, Program development, Program-related investments/loans, Seed money.

Limitations: No support for political organizations, organizations already receiving support through United Way campaigns,. No grants to individuals.

Application information: Application form required for special programs where requests for proposals are issued. Application form not required for other grants.

Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) listing of board of directors, trustees, officers and other key people and their affiliations
- 3) detailed description of project and amount of funding requested
- 4) listing of additional sources and amount of support
- 5) timetable for implementation and evaluation of project
- 6) copy of most recent annual report/audited financial statement/990
- 7) copy of IRS Determination Letter
- 8) copy of current year's organizational budget and/or project budget

Initial approach: Letter

Copies of proposal: 1

Deadline(s): Varies for competitive awards programs; none for grants

Final notification: 4 to 6 weeks

Merrill Lynch & Co. Foundation, Inc.

100 Union Ave.

Cresskill, NJ 07626

Telephone: (201) 871-0350

Contact: Eddy Bayardelle, Dir., Philanthropic Progs.

Application address: c/o Community Rels., 2 World Financial
Ctr., 5th Fl., New York, NY 10281

FAX: (212) 236-3821

E-mail: philant7@exchange.ml.com

URL: <http://philanthropy.ml.com>

Purpose and activities: The foundation emphasizes human achievement through capacity-building and cross-cultural understanding. This focus promotes education in its broadest sense; support for scholastic programs and academic institutions--in particular colleges and universities known for excellence -- and human service, and civic organizations.

Fields of interest: Education; Government/public administration; Higher education; Human services.

Geographic focus: National

Types of support: Building/renovation, Capital campaigns, Continuing support, General/operating support, Program development, Publication, Research.

Limitations: No grants to individuals.

Application information: Accepts NYRAG Common

Application Form. Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission

- 2) detailed description of project and amount of funding requested
- 3) timetable for implementation and evaluation of project
- 4) how project's results will be evaluated or measured
- 5) copy of IRS Determination Letter
- 6) listing of board of directors, trustees, officers and other key people and their affiliations
- 7) copy of most recent annual report/audited financial statement/990

Initial approach: Letter of inquiry

Copies of proposal: 1

Deadline(s): None

Final notification: 3 months

Company URL: <http://www.ml.com>

Stewart R. Mott Charitable Trust

(Formerly Stewart R. Mott Charitable Trust/Spectemur Agendo)

122 Maryland Ave.

Washington, DC 20002

Telephone: (202) 546-3732

Contact: Bonnie Bacon, Prog. Asst.

E-mail: baconbonnie@aol.com

URL: <http://www.srmtrust.org>

Purpose and activities: Awards made to support the philosophy that the struggle for limited resources due to overpopulation and unfair distribution is an immediate threat to the survival of the planet. The trust's philanthropic focus sponsors organizations active in the following areas of national and international advocacy: 1) government reform and accountability; and 2) civil rights, civil liberties, and human rights.

Fields of interest: Civil rights; Government/public administration; Public affairs.

Geographic focus: National; international

Types of support: General/operating support.

Limitations: Giving on a national and international basis. Generally, no support for local, regional, or ethnic-specific organizations No grants to individuals.

Application information: Funding to first-time grantseekers is limited, with new grants ranging from \$250 to \$5,000; accepts National Network of Grantmakers and New York Regional Association of Grantmakers application forms.

Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) qualifications of key personnel

- 3) listing of board of directors, trustees, officers and other key people and their affiliations
- 4) copy of current year's organizational budget and/or project budget

Initial approach: Proposal or request for information (letter, FAX and e-mail acceptable)

Copies of proposal: 1

Deadline(s): Rolling; current deadlines available via phone call

Final notification: Generally within 6 months; final notification by end of calendar year

The Nonprofit Sector Research Fund of the Aspen Institute

1 Dupont Cir., N.W., Ste. 700

Washington, DC 20036

Telephone: (202) 736-5800

Contact: David Williams, Grants Mgr.

FAX: (202) 293-0525

E-mail: nsrf@aspeninstitute.org

URL: <http://www.nonprofitresearch.org>

Purpose and activities: The institute enhances the ability of leaders in business, government, the nonprofit sector, academia and the media to understand and act upon the issues that challenge the national and international community.

Program area(s): The grantmaker has identified the following area(s) of interest:

1. Michigan Nonprofit Research Program: Support is given for projects which address the following issues: advocacy, including the impact of nonprofits on the public agenda; diversity and equity of populations served by nonprofits in Michigan; economic impact of nonprofits; financial resources available to nonprofits; human resources; the relationship between nonprofits and the government; philanthropy; and technology. Grants range from \$2,000 to \$15,000.
2. National Program: Through this program the fund supports research in three areas: Intersectoral Relations (e.g. how does the nonprofit sector compare to the for-profit and government sectors), Nonprofits and Public Policy, and Foundation Policy and Practice.
3. W.K. Kellogg Nonprofit Research Fellowship: As a part of the Michigan Program, it actively encourages young scholars interested in adding to the knowledge base of Michigan's nonprofit sector. William Randolph Hearst Endowed Scholarship for Minority Students: This fund provides scholarships to undergraduate and graduate students to pursue research at the Nonprofit Sector Research Fund during the summer for 10 to 12 weeks at the institute. Awards between \$2,800 and \$4,200 depending on the recipient's educational level, financial need, and time commitment.

Fields of interest: Business/industry; Economic development; Government/public administration; International studies.

Geographic focus: National; international

Types of support: Fellowships, General/operating support, Research, Scholarships--to individuals.
Limitations: Giving on a national and international basis. Generally no grants to for-profit consulting firms.

Application information: See Web site for application deadlines; California Non-profit Research Program has been temporarily suspended. Application form required.

Applicants should submit the following:

- 1) qualifications of key personnel
- 2) descriptive literature about organization

Initial approach: Proposal

Copies of proposal: 4

Deadline(s): Mar. 15 for William Hearst Scholarship, Oct. for proposals

Final notification: Apr. 8 for William Hearst Scholarship

OMRON Foundation, Inc.

c/o OMRON Electronics, Inc.

1300 Basswood, Ste. 100

Schaumburg, IL 60173

Telephone: (847) 884-0322

Contact: Nicholas Hahn, Dir.

FAX: (847) 240-5362

Purpose and activities: Primary areas of interest include higher and other education, the handicapped, cultural programs and the elderly.

Fields of interest: Civil rights; Civil rights, race/intergroup relations; Community development; Disabled; Education; Human services; Minorities; Public affairs; citizen participation; Public policy, research; Youth development, citizenship.

Geographic focus: Illinois

Types of support: Building/renovation, Continuing support, General/operating support.

Limitations: Giving primarily in Chicago, IL; minor support for organizations outside IL. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested

Initial approach: Letter

Deadline(s): None

Pew Charitable Trusts

1 Commerce Sq.
2005 Market St., Ste. 1700
Philadelphia, PA 19103-7077
Telephone: (215) 575-9050
Contact: Rebecca W. Rimel, Pres.
FAX: (215) 575-4939
E-mail: info@pewtrusts.com
URL: <http://www.pewtrusts.com>

Purpose and activities: The Pew Charitable Trusts support nonprofit activities in the areas of, education public policy and religion. The trusts make strategic investments to help organizations and citizens develop practical solutions to difficult problems.

Program area(s): The grantmaker has identified the following area(s) of interest:

- 1) **Public Policy:** The Public Policy program advances and helps sustain improvements in America's democratic life by strengthening the foundations of civic engagement and rebuilding American's confidence in government and the basic democratic process, primarily elections. These goals are accomplished through Strengthening Democratic Life in the United States to create sustainable improvements in America's democratic life by 1) renewing elections as meaningful events in democratic life; 2) improving campaign conduct in support of democratic renewal; 3) supporting democratic renewal by reducing the demand for campaign money; 4) helping Americans in general and younger Americans in particular increase their involvement in civic problems solving; and 5) increasing public attention on actual performance as the basis for evaluating how well or poorly government is doing in delivering on its commitments.
- 2) **Venture Fund:** The Venture Fund is a unique investment tool for the trusts, enabling the trusts to explore opportunities that fall outside the clearly defined goals and objectives of the six program areas. The Venture Fund does not accept direct proposals.

Fields of interest: Civil rights; Community development; Economically disadvantaged; Education; Government/public administration; Public affairs; citizen participation; Public affairs, Rural development; Urban/community development.

Geographic focus: Pennsylvania; national; international

Types of support: Conferences/seminars, Continuing support, General/operating support, Program development, Program-related investments/loans, Publication, Research, Seed money, Technical assistance.

Limitations: Giving on a national basis, with a special commitment to the Philadelphia, PA, region. No support for political organizations. No grants to individuals, or for capital campaigns, construction, equipment.

Application information: Contact foundation for brochure on specific guidelines and limitations in each program area or visit the trusts' Web site; applicants should not send full proposals unless requested by trustee representatives. Application form required.

Applicants should submit the following:

- 1) copy of current year's organizational budget and/or project budget
- 2) detailed description of project and amount of funding requested
- 3) how project's results will be evaluated or measured
- 4) copy of IRS Determination Letter
- 5) population served

Initial approach: Letter of inquiry (2 to 3 pages)

Copies of proposal: 1

Deadline(s): None

Final notification: Approximately 4 to 6 weeks after board meetings

Sarah Scaife Foundation, Inc.

1 Oxford Ctr.

301 Grant St., Ste. 3900

Pittsburgh, PA 15219-6401

Telephone: (412) 392-2900

Contact: Michael W. Gleba, Exec. V.P.

URL: <http://www.scaife.com>

Purpose and activities: Grants primarily directed toward public policy programs that address major international and domestic issues.

Fields of interest: Economics; Education; Government/public administration; Higher education; Political science; Public policy, research.

Geographic focus: National

Types of support: Conferences/seminars, Continuing support, Fellowships, General/operating support, Program development, Publication, Research, Seed money.

Limitations: No support for nationally organized fundraising groups. No grants to individuals, or for deficit financing or scholarships; no loans.

Application information: Application form not required. Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of most recent annual report/audited financial statement/990
- 3) copy of current year's organizational budget and/or project budget

- 4) listing of board of directors, trustees, officers and other key people and their affiliations
- 5) copy of IRS Determination Letter
- 6) signature and title of chief executive officer

Additional information may be requested for further evaluations.

Initial approach: Letter or proposal

Copies of proposal: 1

Deadline(s): None

Final notification: 2 to 4 weeks

The Florence and John Schumann Foundation

33 Park St.

Montclair, NJ 07042

Telephone: (973) 783-6660

Contact: Lynn C. Welhorsky, V.P., Admin.

Purpose and activities: Grants for programs in effective governance and the environment.

Fields of interest: Environment; Public affairs, citizen participation.

Geographic focus: National

Types of support: Continuing support, General/operating support, Matching/challenge support, Program development, Seed money.

Limitations: Giving on a national basis. No grants to individuals, or for annual campaigns, capital campaigns, equipment and materials; no loans.

Application information: Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) detailed description of project and amount of funding requested
- 3) copy of most recent annual report/audited financial statement/990
- 4) copy of current year's organizational budget and/or project budget
- 5) timetable for implementation and evaluation of project
- 6) copy of IRS Determination Letter

A proposal including information listed above will be requested if, on the basis of the inquiry letter, the foundation determines that the project meets the guidelines and can be funded from current income.

Initial approach: 1- to 2-page letter of inquiry

Copies of proposal: 1

Final notification: 2 to 3 months

Shell Oil Company Foundation

(Formerly Shell Companies Foundation, Inc.)

910 Louisiana, Ste. 4137

P.O. Box 2099

Houston, TX 77252

Telephone: (713) 241-3616

Contact: A.H. Myres, V.P.

FAX: (713) 241-3329

E-mail: socfoundation@shellus.com

URL:

http://www.countonshell.com/community/involvement/shell_foundation.html

Purpose and activities: Preferred areas of giving are civic and public policy, community involvement. About 48 percent of the budget is channeled through a number of planned programs that provide student aid, faculty development, basic research grants, departmental grants and to a few national educational organizations. The remaining funds are paid to a limited number of national organizations concerned with a broad range of needs and, to a greater extent, to local organizations in communities where significant numbers of Shell employees reside.

Program area(s): The grantmaker has identified the following area(s) of interest:

Community Involvement: Support for national and local organizations working in such areas as public policy research, community improvement, justice and the law, the environment, and minority group needs.

Fields of interest: Disabled; Economically disadvantaged; Government/public administration; Minorities; Minorities/immigrants, centers/services; Public affairs, citizen participation; Public policy, research; Youth development, citizenship.

Geographic focus: National

Types of support: Consulting services, Continuing support, General/operating support, Program development, Publication, Research.

Limitations: Giving primarily in areas of company operations in the U.S. No grants to individuals, or for development funds.

Application information: Scholarship programs administered through National Merit Scholarship Corp. Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) detailed description of project and amount of funding requested
- 3) copy of most recent annual report/audited financial statement/990
- 4) copy of current year's organizational budget and/or project budget
- 5) copy of IRS Determination Letter
- 6) listing of additional sources and amount of support

Initial approach: Letter
Copies of proposal: 1

Deadline(s): Submit proposal Jan. through Aug.; deadline Aug. 31
Final notification: 1 month

Smith Richardson Foundation, Inc.

60 Jesup Rd.
Westport, CT 06880
Telephone: (203) 222-6222
Contact: Marin J. Strmecki, Dir., Progs.
FAX: (203) 222-6282
URL: <http://www.srf.org>

Purpose and activities: The mission of the foundation is to contribute to important public debates and to help address serious public policy challenges facing the United States. The foundation seeks to help ensure the vitality of social, economic, and governmental institutions. It also seeks to assist with the development of effective policies to compete internationally and advance U.S. interests and values abroad. This mission is embodied in their domestic and international grant programs.

Program area(s): The grantmaker has identified the following area(s) of interest:

Domestic Public Policy Program: The program seeks to help ensure the vitality of social, economic, and governmental institutions. A guiding principle of the program is that policymakers and the public across the political spectrum are looking for innovative ways to understand and address critical problems. The foundation is interested in assessing the effectiveness of a broad array of interventions and approaches designed to assist children, youth and families. It seeks to support projects on the problems of contemporary governance, such as entitlement and regulatory reform. In addition, it seeks to address such problems as the quality of the political process, the content of the news and entertainment media and the operation of the legal system. In all of these areas, the foundation seeks to support research, writing, and analysis that inform the thinking of policymakers and the public. It also seeks opportunities to support the creation of innovative institutions and solutions that directly address issues in its areas of interest.

Fields of interest: Asia; Economics; Education; Europe; Government/public administration; International affairs; International affairs, foreign policy; International studies; Middle East; Political science; Public policy, research; Social sciences; Soviet Union (Former); Youth, pregnancy prevention.

Geographic focus: National

Types of support: Conferences/seminars, Publication, Research.

Limitations: Giving limited to U.S.-based organizations only. No support for programs in the arts and humanities, direct service programs, charities, advocacy organizations, historic restoration, or regional or community programs concerning employment, recreation, or regional or community health and welfare. No grants to individuals, or for deficit financing, documentaries, building or endowment funds, scholarships, fellowships, operating budgets, or research in the physical sciences; no loans.

Application information: Most projects funded are initiated by the foundation. The staff does not meet with applicants. Application form not required. Applicants should submit the following:

- 1) statement of problem project will address
- 2) brief history of organization and description of its mission
- 3) qualifications of key personnel
- 4) timetable for implementation and evaluation of project
- 5) copy of current year's organizational budget and/or project budget
- 6) population served
- 7) copy of IRS Determination Letter
- 8) detailed description of project and amount of funding requested

Initial approach: 3- to 5-page concept paper

Copies of proposal: 1

Deadline(s): None

Final notification: 45 to 60 days

The Streisand Foundation

1460 4th St., Ste. 212

Santa Monica, CA 90401-2329

Telephone: (310) 535-3767

Contact: Margery Tabankin, Exec. Dir., or Suzy de Blois,
Prog. Assoc.

FAX: (310) 395-9676

Purpose and activities: Giving primarily for human services, civil rights, poverty, and social welfare.

Fields of interest: Civil liberties, advocacy; Civil rights; Civil rights, voter education; Public affairs, citizen participation.

Geographic focus: National

Types of support: General/operating support, Program development.

Limitations: Giving to nationally-based groups; some local giving in Los Angeles, CA for youth organizations. No grants to individuals.

Application information: Application form not required. Applicants should submit the following:

- 1) copy of current year's organizational budget and/or project budget
- 2) detailed description of project and amount of funding requested
- 3) listing of board of directors, trustees, officers and other key people and their affiliations
- 4) copy of IRS Determination Letter

Initial approach: 2- to 3-page letter of inquiry

Copies of proposal: 1

Deadline(s): Dec. 1

Final notification: Following summer

Surdna Foundation, Inc.

330 Madison Ave., 30th Fl.

New York, NY 10017-5001

Telephone: (212) 557-0010

Contact: Edward Skloot, Exec. Dir.

FAX: (212) 557-0003

E-mail: request@surdna.org

URL: <http://www.surdna.org>

Purpose and activities:

1. The foundation's guidelines focus on five areas:
Building an Effective Citizenry, to advance social and emotional learning, enhance conflict resolution theory, practice and expand opportunities for service and citizenship, and support character development and ethical behavior.
2. The Nonprofit Sector: The foundation is particularly interested in fostering catalytic, entrepreneurial programs that offer solutions to difficult systemic problems.

Program area(s): The grantmaker has identified the following area(s) of interest:

1. Effective Citizenry: The foundation's goals are to promote respect, empathy, fairness and inclusiveness in the way individuals and groups interact; motivate individuals to take active roles in their communities; help individuals work together effectively to create joint solutions to serious social and civic problems; and advance participatory decision making and governance in community institutions. The foundation is particularly interested in programs that bring young people and adults together in effective cross-generational partnerships and programs that develop the necessary individual skills to accomplish said goals.
2. Nonprofit Sector Support: The goals of this initiative are to strengthen the nonprofit sector's ability to clearly articulate and widely communicate its role in American public life; further the study of crosscutting issues (e.g., tax exemption, commercialization and decreasing public funding) and encourage broad collaboration within the sector--and among sectors--to develop effective public policy; support the creation of new resources of philanthropic dollars, catalyze discussion on good stewardship practices, and further effective collaboration between nonprofit

organizations and philanthropy; and strengthen nonprofit organizations by improving management, finance, leadership and use of technology within the nonprofit sector.

Fields of interest: Community development; Economic development; Public affairs, citizen participation; Urban/community development.

Geographic focus: National

Types of support: Continuing support, General/operating support, Program development, Technical assistance.

Limitations: No grants to individuals, or for capital campaigns.

Application information: Application form not required. Applicants should submit the following:

- 1) brief history of organization and description of its mission
- 2) detailed description of project and amount of funding requested
- 3) copy of current year's organizational budget and/or project budget
- 4) copy of IRS Determination Letter
- 5) copy of most recent annual report/audited financial statement/990
- 6) listing of additional sources and amount of support
- 7) listing of board of directors, trustees, officers and other key people and their affiliations
- 8) results expected from proposed grant
- 9) qualifications of key personnel
- 10) contact person

Initial approach: Letter of inquiry and preliminary outline

Copies of proposal: 1

Deadline(s): None

Final notification: 90 days

Tenneco Automotive Inc. Corporate Giving Program

(Formerly Tenneco Inc. Corporate Giving Program)

c/o Corp. Comm.

500 North Field Dr.

Lake Forest, IL 60045

Telephone: (847) 482-5607

Contact: Jane Ostrander, Dir., Ext. Comm.

E-mail: jane.ostrander@tenneco-automotive.com

Purpose and activities: As a complement to its foundation, Tenneco Automotive also makes charitable contributions to nonprofit organizations directly. Support is given on a national basis.

Fields of interest: Economically disadvantaged; Education; Higher education; Minorities; Urban/community development.

Geographic focus: National

Types of support: Annual campaigns, Building/renovation, Continuing support, Donated equipment, Donated products, Emergency funds, Employee volunteer services, General/operating support, In-kind gifts, Program development, Research, Scholarship funds, Sponsorships, Use of facilities.

Limitations: Giving on a national basis and in Ontario, Canada, in areas of company operations. No support for political, religious, fraternal, or veterans' organizations. No grants to individuals.

Application information: Proposals should be submitted using organization letterhead. The Corporate Communications Department handles giving. Application form not required.

Applicants should submit the following:

- 1) detailed description of project and amount of funding requested
- 2) copy of current year's organizational budget and/or project budget
- 3) copy of IRS Determination Letter
- 4) copy of most recent annual report/audited financial statement/990
- 5) brief history of organization and description of its mission

Initial approach: Proposal to headquarters or nearest company facility

Copies of proposal: 1

Deadline(s): None

Final notification: Following review

Sponsoring company information:

Tenneco Automotive Inc.

Lake Forest, IL

Company URL: <http://www.tenneco-automotive.com>
