





Division of Substance Use Prevention and Recovery (SUPR) David Jones, Director Laura Garcia, NCC, LPC, Chief of Staff

DUI Orientation Training

LaKeshia Sumrall-Carr, NCC, LCPC Grant Management Supervisor

WELCOME TO SUPER DUI TRAINING



TRAINING ROOM AGREEMENTS

- I. Only One Person Speaking
 At A Time
- 2. Be Respectful and Professional
- 3. Stay On Point
- 4. Enjoy Academic Freedom
 - A. Please don't talk about others/programs
 - B. Free to ask anything
- Remember Andrea is the contact for all attendanc, registration and certificates





TRAINING SCHEDULE

Day I Session

 SUPR Staff-Understanding 2060 Rules and Regulation

AM

PM

Day I PM Session

 IL-State Police-DUI arrest: Standardized Field Sobriety Testing and BAC determination

TRAINING SCHEDULE

Day 2 Session • DUI Evaluation AM PM Day 2 PM Session • DUI Assessment Tools

TRAINING SCHEDULE

Day 3 Session

 Understanding Secretary of State Administrative Hearing Process for DUI Offenders

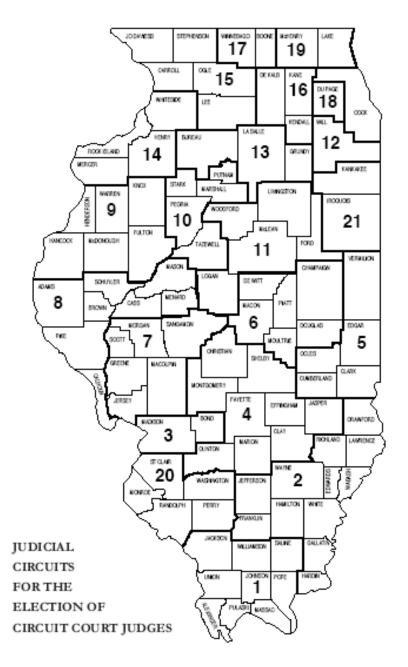
AM

PM

Day 3 PM Session

• DUI Hearing Process

- Illinois 6th Largest Population.
- How far did you travel to get here?
- What is your Circuit Court?
- Do you know:
 - The Chief Judge
 - Parole / Probation Officers
 - TASC Staff
 - SOS Hearing Officers
- Do you know two other programs in your area/county?





What do you want to learn today?
1
2. <u> </u>
3. <u> </u>
Do you have goals for this class?
1
2. <u> </u>
3. <u> </u>
What is your destination?
1
2. <u> </u>
3



TRAINING GOALS

Staff Training Requirements 2060. 311 b) & c).



TRAINING GOALS CONTINUED

Improve your effectiveness in the delivery



of services to your customers:

- THE PUBLIC
- clients
- office of the secretary of state
- local circuit court of venue



Provide DHS/SUPR updates



Answer **YOUR** questions





<u>OVERVIEW</u>

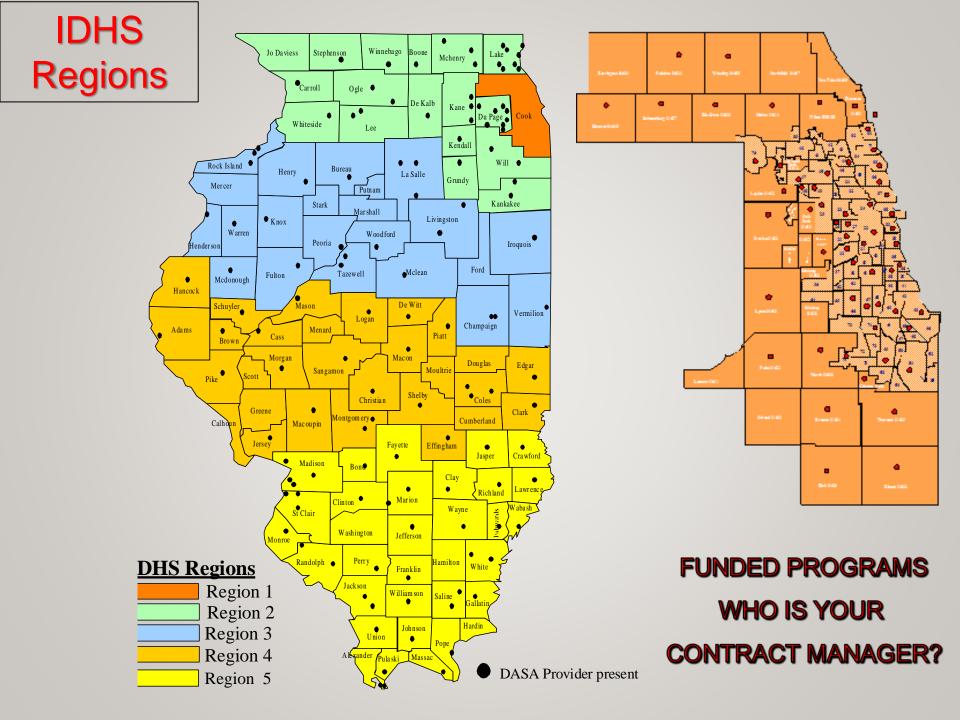


- 2060 Review & Definitions
- DHS/SUPR Role
- DUI Evaluation & Driver Risk Education
- electronic DUI Service Reporting System eDSRS
- Most common mistakes, errors, findings

SUPR ROLE

- <u>Lead state agency</u> for the field of substance abuse in the state of Illinois
- Licensure of intervention and treatment services related to alcoholism and substance use
- Regulatory oversight of the application process
- Initiates compliance visits 900 + licensed facilities
- Investigate complaints
- Resource for providers, clients, secretary of state, and the judicial system





GRANT MANAGEMENT

- Bobby Gilmore, Deputy
 Director
- Business and Fiscal Operations

- <u>LaKeshia Sumrall-Carr, NCC</u>,
 <u>LCPC</u>, <u>Grant Management</u>
 <u>Supervisor</u>
- Olympia Cure, Grant Manager
- Maria Herrera, Grant Manager
- Gajef McNeill, Grant Manager
- Christian Smith, Grant Manager
- Brandy Shumate, Grant Manager

📳 View up to date information on Illinois' (COVID-19) vaccine plan and vaccination eligibility from the State of Illinois Coronavirus Response Site



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Grant Application Information and Instructions

The Federal regulations under 2 CFR 200, the Uniform Guidance, was adopted by Illinois in 2014 as the requirement for all grants. This includes state-only funded grants, federally funded grants, those which are pass-through funded, and those with any combination of state and federal funds. The Illinois law is called the Grant Accountability and Transparency Act, or GATA. As a result, there is an ongoing centralization process to unify and streamline the way grants are handled across all state agencies, including the Illinois Department of Human Services.

NOTE: Please use the browser Internet Explorer for the best results when accessing the GATA and IDHS systems noted below.

Finding a Funding Opportunity (Grant)

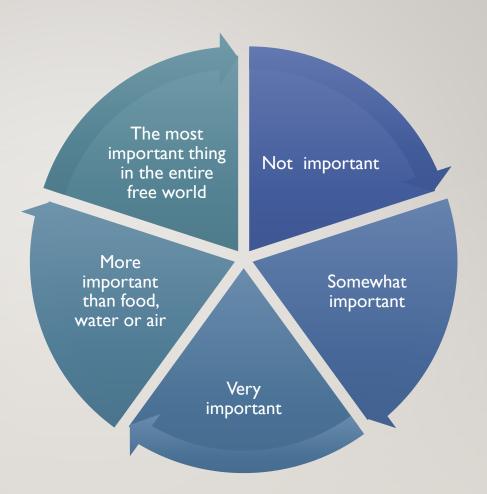
Potential grantees will find IDHS's Notices of Funding Opportunities (NOFOS) on both the GATA Catalog of State Financial Assistance and on this website. Each IDHS division has a Grant Information webpage, with information about the programs and grants they authorize and monitor. (See links on the right.) All competitive and discretionary grants must have a NOFO. Non-competitive grants may be made available by the individual IDHS division and may or may not be posted.

Registration and Pre-Qualification

Prior to applying for any Notice of Funding Opportunity (NOFO), every applicant must first be registered and prequalified through the following steps. This must be done on or before the application's due date or their application CANNOT be accepted.

- Apply for or update their DUNS number (https://www.dandb.com/). This must be done yearly.
- Apply for or update their SAM registration and receive a SAM cage code. (https://www.SAM.gov) This must be done yearly.
- Be registered and in good standing with the Illinois Secretary of State. (http://www.cyberdriveillinois.com/) (This is not required of governmental entities and schools.)
- Register with the GATA/CSFA system at https://grants.illinois.gov/registration/. The system will automatically check your registrations with the above mentioned systems. It will also check to see if your entity is on the federal excluded parties list and if your entity is on the State of Illinois Stop Pay/Compliance list. If your entity is not up-to-date on the registrations, or is listed on the federal list or Illinois Stop Pay/Compliance list, your application will not be accepted until these situations are resolved

HOW
IMPORTANT
IS 2060 TO
YOU AS A
PROVIDER?



PLEASE READ & UNDERSTAND THE RULE



What Sub-part (s) of 2060 apply to intervention services?

- 1. A & B
- 2. E only
- 3. A, B & C
- 4. A, B, C, & D
- 5. A, B, C, & E



The correct answer is _____!!!!!

What Sub-parts of 2060 apply to intervention services?

- Sub-part E (Intervention Licenses)
 - DUI Evaluation
 - Risk Education

Designated Program Services

 All applicable provisions in sub-parts A, B,C & E



77 ILLINOIS ADMINISTRATIVE CODE CHAPTER X, SEC. 2060, SUBCHAPTER D

TITLE 77: PUBLIC HEALTH				
CHAPTER X: DEPARTMENT OF HUMAN SERVICES				
SUBCHAPTER d: LICENSURE				

PART 2060

ALCOHOLISM AND SUBSTANCE ABUSE TREATMENT AND INTERVENTION LICENSES

SUBPART A: GENERAL REQUIREMENTS

Section

Applicability 2060.101

2060.103 Incorporation by Reference and

Definitions

SUBPART B: LICENSURE REQUIREMENTS

Section	
2060.201	Types of Licenses
2060.203	Off-Site Delivery of Services
2060.205	Unlicensed Practice
2060.207	Organization Representative
2060.209	Ownership Disclosure
2060.211	License Application Forms
2060.213	License Application Fees
2060.215	Period of Licensure
2060.217	License Processing/Review
Requirements	
2060.219	Renewal of Licensure
2060.221	Change of Ownership/Management
2060.223	Dissolution of the Corporation
2060.225	Relocation of Facility
2060.227	License Certificate Requirements
2060.229	Deemed Status (Repealed)

SUBPART C: REQUIREMENTS - ALL **LICENSES**

Section

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SUBPART D: REQUIREMENTS - TREATMENT LICENSES

Section	
2060.401	Levels of Care
2060.403	Court Mandated Treatment
2060.405	Detoxification
2060.407	Group Treatment
2060.409	Patient Education
2060.411	Recreational Activities
2060.413	Medical Services
2060.415	Infectious Disease Control
2060.417	Assessment for Patient Placement
2060.419	Assessment for Treatment Planning
2060.421	Treatment Plans
2060.423	Continued Stay Review
2060.425	Progress Notes &Documentation of Service
Delivery	
2060.427	Continuing Recovery Planning and Discharge

SUBPART E: REQUIREMENTS - INTERVENTION LICENSES

Section

2060.501	General Requirements
2060.503	DUI Evaluation
2060.505	DUI Risk Education
2060.507	Designated Program
2060.509	Recovery Homes

AUTHORITY: Implementing and authorized by the Illinois Vehicle Code [625 ILCS 5] and the Alcoholism and Other Drug

Dependency Act [20 ILCS 301].

SOURCE: Adopted at 20 III. Reg. 13519, effective October 3, 1996; recodified from Department of Alcoholism and Substance Abuse to Department of Human Services at 21 III. Reg. 9319; emergency amendment at 23 III. Reg. 4488, effective April 2, 1999, for a maximum of 150 days; amended at 23 III. Reg. 10803, effective August 23, 1999; amended at 25 III. Reg. 11063, effective August 14, 2001; amended at 26 III. Reg. 16913, effective November 8, 2002; amended at 27 III. Reg. 13997, effective August 8, 2003.

• "Client" means a person who receives intervention services

• "Patient" means a person who receives substance abuse treatment services as defined in this Part from an organization licensed under this Part.

- "Intervention" means activities or services that assist persons and their significant others in coping with the immediate problems of substance use or dependence and in reducing their substance use. Such services facilitate emotional and social stability and involve referring persons for treatment, as needed.
- "Treatment" means a continuum of care provided to persons addicted to or abusing alcohol or other drugs that is designed to identify and change patterns of behavior that are maladaptive, destructive and/or injurious to health; or to restore appropriate levels of physical, psychological, and/or social functioning.

• "Early Intervention" means services that are <u>sub-clinical or pre-treatment</u> and are designed to explore and address problems or risk factors that appear to be related to substance use and/or to assist individuals in recognizing the harmful consequences of inappropriate substance abuse.

 "DUI Evaluation" means the services provided to a person relative to a DUI offense in order to determine the nature and extent of the use of alcohol or other drugs as required by the Unified Code of Corrections [730 ILCS 5] and Section 6-206.1 of the Illinois Driver Licensing Law [625 ILCS 5/6-206.1].

"Alcohol and Drug Evaluation

Uniform Report (UR)"

means the form, mandated by the Department and produced from the DUI Services Reporting System (eDSRS), that is required to report a summary of the DUI evaluation to the circuit court or the Office of the Secretary of State (SOS).

• "Assessment" means the process of collecting and professionally interpreting data and information from an individual and/or collateral sources, with the individual's permission, about alcohol and other drug use and its consequences as a basis for establishing a diagnosis of a substance use disorder, determining the severity of the disorder and comorbid conditions and identifying the appropriate level and intensity of substance abuse treatment, as well as needs for other services.

 "ASAM Patient Placement Criteria" means the American Society of Addiction Medicine's Patient Placement Criteria for the Treatment of Substance-Related Disorders, Fourth Edition (ASAMPPC-2R)

2060.201 (TYPES OF LICENSES)

- Treatment (adult/adolescent)
 - Level I: outpatient
 - Level 0.5:
 - early intervention (sub component of level I)
 - Level II: intensive outpatient partial hospitalization
 - Level III: inpatient subacute/residential (detox)
 - Level IV: medically managed intensive inpatient

- Intervention
 - Evaluation
 - DUI risk education
 - Recovery homes
 - Designated program

RULE 2060.211 LICENSE APPLICATION

- Illinois Department of Human Services, Division Substance Use Prevention and Recovery (SUPR) Licensing and Certification 401 South Clinton Street, 2nd Floor Chicago, Illinois 60607
- Phone (312) 814-3840
- License Information-- http://www.dhs.state.il.us
 - ➤ Click Providers
 - Click Becoming a provider
 - Click..... Substance Use Disorder Treatment and Prevention

Note: \$200.00 fee per license for each location

RULE 2060.40 I LEVELS OF CARE

ASAM Patient Placement Criteria

- Level I: Outpatient (* average < 9 hrs./week)
 - Level 0.5: Early Intervention
- Level II: Intensive Outpatient /Partial Hospitalization (*minimum 9hrs./week)
- Level III: Inpatient Sub-acute/residential (*minimum 25 hrs./week)
- Level IV: Medically Managed Intensive Inpatient (*24hrs./managed)
- * Planned regimen of regularly scheduled sessions per 2060.401

WHO CAN PROVIDE INTERVENTION SERVICES?

- People that have a lot degrees.
- 2. Doctors
- 3. Lawyers
- 4. Professionals that Meet 2060.309



The correct answer is_____.

WHO CAN PROVIDE INTERVENTION SERVICES?

2060.309 Professional staff qualifications

Clinical services

- IAODAPCA (ICB) certified alcohol & drug abuse counselor
- Licensed social worker or clinical social worker
- Licensed professional counselor or licensed clinical professional counselor
- Physician, providing direct patient care
- Licensed psychologists

Intervention services (evaluation and/or education)

ICB certified assessment and referral specialist (CARS)

There is no two-year window to become certified / licensed teach to DUI Education or conduct DUI Evaluations.

INTERVENTION SERVICES TRAINING REQUIREMENTS

DUI Evaluation

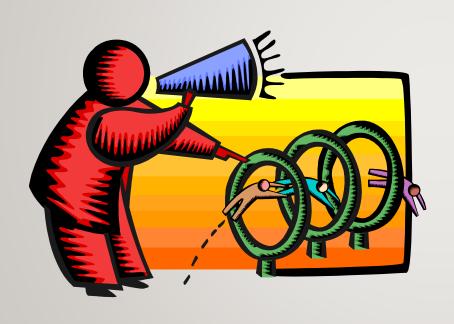
 Attend this class within 6 months of hire or starting to provide evaluations

Driver Risk Education

Attend this class
 within 12 months of
 hire or starting to
 teach these classes

YOU MUST BE CERTIFIED OR LICENSED TO PROVIDE THESE SERVICES

HOW MANY CERTIFICATES OR LICENSES DOES IT TAKE TO PROVIDE INTERVENTION SERVICES?



- I. Feels like 20
- 2. I know it is 2
- 3. Could it be 3
- 4. "The answer is blowing in the wind"

THREE
IMPORTANT
THINGS YOU
MUST HAVE TO
PROVIDE
INTERVENTION
SERVICES?

MEET 2060.309 PROFESSIONAL QUALIFICATIONS

COMPLETE DUI BASIC TRAINING

SUPR LICENSED FACILITY

PURPOSE OF A DUI EVALUATION (CON'T)

- Identify the offender's risk to public safety
- Recommend an initial intervention
 - DUI offender
 - The Circuit Court of Venue
 - Office of the Secretary of State.

A DUI EVALUATION IS NOT A DSM-5 DIAGNOSIS or AN ASAM ASSESSMENT 2060.417

DUI EVALUATION COMPONENTS

- Services provided regardless of persons ability to pay, indigent guidelines
 2060.503 b)
 - Drunk and Drugged Driving Prevention Fund
- Face to face interview
- All applicable notices reviewed and signed
 - Informed Consent
 - Referral List Verification Form, etc.
- Requirements of <u>2060.325</u>



JB Pritzker, Governor

Grace B. Hou, Secretary-designate

100 South Grand Avenue East • Springfield, Illinois 62762 401 South Clinton Street • Chicago, Illinois 60607

INFORMED CONSENT

In order to obtain an Alcohol and Drug Evaluation for the Circuit Court or the Office of the Secretary of State, I agree to provide the following information:

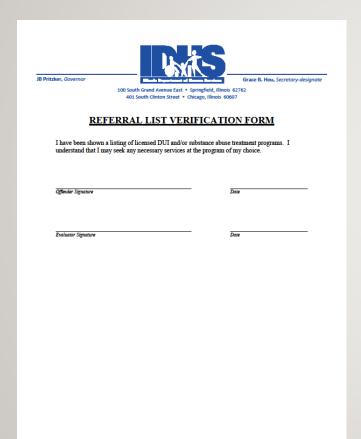
- A copy of my driving abstract or a written summary of my driving history obtained from the Office of the Secretary of State;
- The written results of any chemical testing or documentation of refusal of such testing that
 occurred after my arrest for driving under the influence of alcohol and/or other drugs (DUI); and
- Alcohol and drug use history from first use to present.

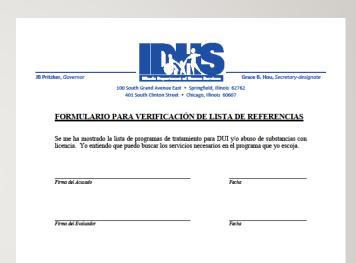
I also attest to the fact that I have not undergone any other alcohol and drug evaluation as a result of my DUI arrest or if I have, I agree to provide a copy of all such evaluations, if completed and/or the name and address of such program(s). I also give my consent for this program to obtain information from any program(s) where I previously began and/or completed any alcohol and drug evaluation relative to my arrest for DUI. I have read the Department of Human Services brochure entitled "DUI Processes and Evaluations" explaining the alcohol and drug evaluation procedure. I understand that I have the right to withdraw from this evaluation process at any time, refuse the completed alcohol and drug evaluation or seek a second opinion by obtaining another evaluation. I further understand that any information I do provide can be released to the Circuit Court, the Office of the Secretary of State or the Department of Human Services upon request. If I do not complete the evaluation or do not return to sign and obtain my copy of the evaluation within 30 days of its completion date, notice will be sent to the Circuit Court or the Office of the Secretary of State along with any relevant information pertaining to my involvement with this program.

Offender Signature	Date
Parent/Guardian Signature (If offender is under age 18)	Date
Witnessed:	
Signature	Date
IF CONSENT IS NOT GIVEN, PLEASE INDICATE TINITIALING ON THIS LINE.	THAT YOU HAVE READ THIS FORM BY

- Located in the resource section of the eDSRS system under Informed Consent
- Spanish & English

Located in the resource section of the eDSRS Spanish & English





RISK IDENTIFICATION

 Determine the person's probability to operate a motor vehicle in an unsafe manner.





HOW SAFE ISTHIS GUY ???



SAFE BOATING NOT!



- Comprehensive chronological history of substance use from <u>first use to present</u>
 - Part of the new eDSRS -Uniform Report
 - eDSRS Manual page 16 & appendix page 5

Has substance use/abuse negatively impacted the clients major life areas?

Marital Family Legal Emotional

Social Vocational Physical Economic

- An <u>analysis</u> of the offender's verbal description of:
 - Alcohol and drug related legal history, driving history
 - Past history of substance abuse evaluations, alcohol or drug treatment and/or self-help group involvement
 - Family history of substance abuse

- Objective test results:
 - Driver Risk Inventory (DRI) or
 - Mortimer/Filkens test or
 - Adult Substance Use Driver Survey (ASUDS-RI)
- The offender's current driving record
- The Law Enforcement Sworn Report



		LAW EN	FORCEMEN 	TPO -	REPORT -			
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	ng privileges will be s			s.*				:
*NOTE: If it is de You re You se unlaw!	termined that you are not a fused to submit to, or failed abmitted to chemical testing ful use or consumption of ca	"first offender", as defin to complete, all request which resulted in an ale annabis listed in the Cann	ed in Section 11-500 o ed chemical testing, the ohol concentration of 0 abis Control Act, a con	f the Illinois Vehicle e period of suspensio .08 or more or any a trolled substance liste	on will be a minimu mount of a drug, so ed in the Illinois Co	ubstance or into	xicating compou	ind resulting from the
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LAW ENFORCEME	NT SWORN REPORT
Circuit Court, CoLEJ	County, 574 Municipal District
Case Number	DUI TRAFFIC CITATION NO. (11-591A1)
Name_MS. LUCKY	DUI TRAFFIC CITATION NO. ICTUES:
Last Flee	MIGGIO
CDL &	Tal 1000
COSTRATIVO	ded Haz. Mat. Vehicle
Street Address	Arrest City and/or County of Arrest
City & State	Date 0/ /7 /02 / //:/2
F / 09-2/-72 Sex Date of Birth	Place of Refusal or Location of Test(s)
Notice of Summary Suspension Given On 01 / 8 / 02	Ref. or Test Date 0/ //8 / 02 / /:22 (2)
Mo. Day Yr.	Mo. Day Yr. Time
THE CHEDENCION SHALL TAVE	LOWING ISSUANCE OF THIS NOTICE OF SUMMARY GUSTENSION. THE ILLINOIS VEHICLE CODE, OR A SIMILAR PROVISION OF A LOCAL.
Because you submitted to testing conducted pursuant to Section 11 an alcohol concentration of	2.08 or more: ITEM 2-7 your blood or urine resulting from the unlawful use or \$28.885ption of tance listed in the Illinois Controlled Substances Act or an interacting the second of the Illinois Vehicle Code and: a 11.500 of The Illinois Vehicle Code and: a period of supersists will be a minimum of 3 years; or if
unlawful use of consumption of cannabus insted in the Cannabis Control Act, a listed in the Use of Intoxicating Compounds Act, the period of suspension will be	
Driver's license surrendered? Yes DiNo: Resson QUT of 5	TATE (MS)
Driver's locate valid at time of arrest? [2] Yes (Sign receipt)	
I have compiled with Section 11-501.1 by having reasonable grounds to believe the arrest (Explain) Subject WAS OUT OF GAS TAYOUG	70 DERK W THE SHOULDER TO THE
EXELD SORRIETY, * P.B.T 18 MAY U	
Pursuant to Section 11-501.1 of The Illinois Vehicle Code I have: Served immediate actice of summary suspension of driving privilegas on a Given notice of summary suspension of driving privilegas is the above name envelope with the postage prepaid addressed to said person at the address.	he above named person. ned person by depositing in the United States mail said notice in an as shown on the Uniform Traffer Tickes.
Under penalties as provided by law pursuant to Section 1-109 of the Illin statements set forth in this instrument are true and correct.	ols Code of Civil Procedure, the undersigned certifies that the
Signature of Arresting Officer	4655
Law Enforcement Agency	Date 0 / / 6 / 02 Me. Day Ve
0086341 POLICE OFFICER - SEND TO SEC	

DUI EVALUATION CLASSIFICATION

 All information obtained during the evaluation shall be <u>analyzed</u> and the <u>offender's risk to public safety shall be</u> <u>determined.</u>

 This initial finding that may be subject to change when more comprehensive and definitive information is obtained.

DUI EVAL CLASSIFICATION CHANGES

- New information is discovered or disclosed indicates the offender was not correctly classified - the discovering party notifies:
 - evaluating agency
 - treatment provider
 - circuit court of venue
 - Office of the Secretary of State 2060.5051

DUI CLASSIFICATIONS/RECOMMENDATIONS

Minimal Risk (first time offender)

- No prior conviction or court ordered supervisions for DUI
- No prior statutory summary suspensions
- No prior reckless driving conviction reduced from DUI
- BAC of less than .15 of most current arrest for DUI
- No DSM 5 symptoms on the substance use disorder spectrum
- Minimal Recommended Intervention
- 10 Hours of Risk Education

DUI CLASSIFICATIONS/RECOMMENDATIONS

Moderate Risk

- No prior conviction or court ordered supervisions for DUI
- No prior statutory summary suspensions
- No prior reckless driving conviction reduced from DUI
- 1 DSM 5 symptom on the substance use disorder spectrum
- BAC of .15 to .19 or a refusal of chemical testing

DUI Classifications/Recommendations

Moderate Risk Intervention Recommendation

- -12 Hours of Counseling(*Early Intervention or .05)
- —10 Hours of Risk Education
 - * Early Intervention
 - minimum of four weeks
 - with no more than three hours per day in any seven consecutive days.

DUI CLASSIFICATIONS/RECOMMENDATIONS

Significant Risk

- One prior conviction or
- Court ordered supervision for DUI or
- One prior statutory summary suspension or
- One prior reckless driving conviction reduced from a DUI; and/or,
- A BAC of .20 or higher as a result of the most current DUI; and/or
- 2-3 DSM 5 symptoms on the substance use disorder spectrum

SIGNIFICANT RISK INTERVENTION RECOMMENDATION

- 20 Hours of Substance Use Treatment and
- I0 Hours of Risk Ed

20 hours of treatment is a minimum requirement and maybe extended in order for the patient to complete treatment goals

DUI CLASSIFICATIONS/RECOMMENDATIONS

High Risk Classifications

- 4 or more DSM 5 symptoms on the substance use disorder spectrum
- Within a ten year period prior to the date of the most current (third or subsequent) arrest any combination of two prior convictions or court ordered supervisions for DUI, or prior statutory summary suspensions, or prior reckless driving conviction reduced from DUI resulting from separate incidents

HIGH RISK INTERVENTION RECOMMENDATIONS

- 75 Hours of Substance Use Treatment and
- Continuing Care Plan

75 hours of treatment is a minimum requirement and maybe extended

in order for the patient to complete treatment goals

RISK INTERVENTION SUMMARY

Classifications and recommendations made during evaluation are the

MINIMUM

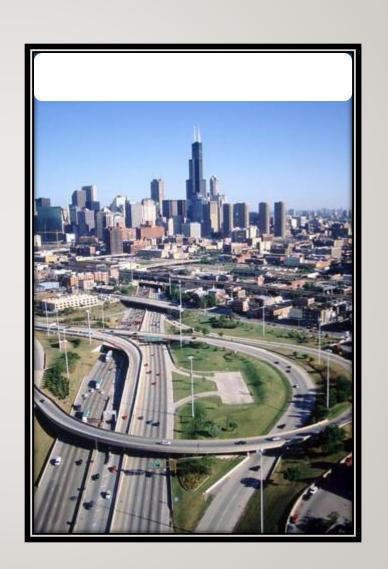
requirements necessary - - - not the determinate.

RISK INTERVENTION SUMMARY

• Subsequent information relevant to the offenders substance use or arrest history discovered during the offender's participation in treatment, early intervention, and intervention programs shall be considered for further recommendations to reduce risk to PUBLIC SAFETY.

WHICH LEVEL OF RISK IS MOST COMMON?

- Minimum
- Moderate
- Significant
- High



STATEWIDE EVALUATION RISK LEVELS

I					Significant				
Year	Minimal		Moderate		Risk		High		Total
2014	5975	14%	8469	20%	17850	43%	8475	20%	40751
2015	6158	14%	8660	20%	18636	44%	8605	20%	42059
2016	5939	13%	10069	23%	17692	41%	9424	21%	43124
2017	4951	12%	10612	26%	14858	37%	9004	22%	39425
2018	3948	11%	8994	26%	12851	38%	7766	23%	33559

Big Joe's Program

State of Illinois
Department of Human Services
DUI Service Reporting System

Evaluation Statistics 01/01/2012 - 04/10/2014

	Offender In	<u>iformation</u>	
Number of Male Offenders:	452	Average Household Income:	\$37243
Number of Female Offenders:	148	Average Number of Dependents:	1
Average Offender Age:	34	Number Qualified as Indigent:	58
	Current DUI Arı	rest Information	
Referral Source -	1	Blood-Alcohol Testing -	
Court:	591	B.A.C. Under the Limit:	9
Secretary of State:	5	B.A.C. Over the Limit:	374
Attorney:	3	Number Refusing Test:	165
Self:	0	Number Not Applicable:	52
Other:	1		
Most Significant Substances:	Alcohol, Marijuan	a, Other, Nicotine, Methamphetamine	s
Class	sification/Minima	l Required Intervention	
Minimal Risk:	99	Significant Risk:	245
Moderate Risk:	110	High Risk:	140
	Evaluation	Disposition	
	·	-	589
Total Number of Evaluations:	600	Total Completed:	589 11
		Total Not Completed:	11
	Stat	ristics .	
Average Number of Days Between	Arrest Date & Beg	inning Date of Evaluation:	3
Number of Evaluations Begun & I	Ending on the Same	Day:	0
Average Number of Appointments	: 1	Average Hours for Interviews:	1
		Average Hours for Paperwork:	1
		-	

Sally's Program

State of Illinois
Department of Human Services
DUI Service Reporting System

Evaluation Statistics 01/01/2012 - 04/10/2014

O 00		T 0		
Offe	ender	Into	rmat	ion

Number of Male Offenders:	76	Average Household Income:	\$27463
Number of Female Offenders:	25	Average Number of Dependents:	1
Average Offender Age:	37	Number Qualified as Indigent:	27

Current DUI Arrest Information

Referral Source -			
Court:	66	B.A.C. Under the Limit:	2
Secretary of State:	0	B.A.C. Over the Limit:	65
Attorney:	29	Number Refusing Test:	29
Self:	0	Number Not Applicable:	5
Other:	6		

Most Significant Substances: Alcohol, Marijuana, Amphetamines, Non-Barbiturate Sedatives, Other O

Classification/Minimal Required Intervention

Minimal Risk:	27	Significant Risk:	33	
Moderate Risk:	35	High Risk:	6	\supset

Evaluation Disposition

Total Number of Evaluations:	101	Total Completed:	101
		Total Not Completed:	0

	<u>St</u>	atistics	
Average Number of Days Between Arre	st Date & B	eginning Date of Evaluation:	3
Number of Evaluations Begun & Ending	g on the Sar	ne Day:	0
Average Number of Appointments:	1	Average Hours for Interviews:	1
		Average Hours for Paperwork:	1

MINIMUM DUI EVALUATION RECORD REQUIREMENTS

- SUBSTANCE USE HISTORY FIRST TO PRESENT.
- DETERMINATION OF THE EXTENT THAT SUBSTANCE USE

HAS CAUSED IMPAIRMENT.

- REQUIRED ITEMS IN 2060.325 (RECORD KEEPING)
- UNIFORM REPORT, NARRATIVE INFORMATION SUPPORTING THE CONCLUSION
- COPIES OF THE OBJECTIVE TEST:
 - Adult Substance Use and Driving Survey (ASUDS)
 - □ Driver Risk Inventory (DRI)
 - Mortimer/Filkens test

MINIMUM DUI EVALUATION RECORD REQUIREMENTS

- Copies of the following:
 - Informed Consent Release form
 - Offender's driving record
 - Sworn officers statement
 - chemical tests results
 - Referral List verification form
- Additionally when applicable:
 - Notification of Incomplete (eDSRS)
 - Refused Evaluation Form (eDSRS)
 - Documentation to support any subsequent change in risk assignment or intervention

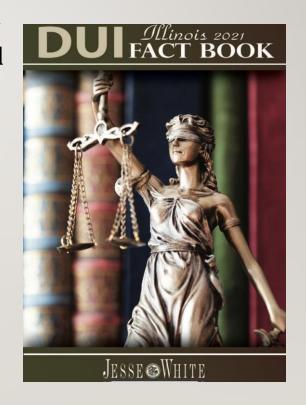
MOST COMMON MISTAKES IN EVALUATION RECORDS

- No Consent To Serve Agreement or Client Rights
 Statement
- No or Poor history substance use first to present
- High scores on objective tests may indicate symptoms of Moderate or Severe Substance Use Disorder
- Unqualified Staff
- Mixing DUI and treatment terms, such as significant treatment or level 2 DUI services
- Use of outdated rules, policies and procedures (2056)

PURPOSE OF RISK EDUCATION

 Orient offenders regarding the impact of alcohol or other drugs on individual behavior and driving skills

 Explore the personal ramifications of their own substance use and abuse



DUI ARRESTS 2005 - 2017

Illinois Secretary of State Fact Book

CHART TITLE



DUI ARRESTS 2014 - 2019

Illinois Secretary of State Fact Book

YEAR	NUMBER OF DUI'S	
2019	26,224	
2018	26,386	
2017	27,046	
2016	29,258	
2015	32,285	
2014	32,822	
2013	34,611	

RISK EDUCATION FORMAT

- Curriculum content outlined in Rule 2060.505c
- Minimum of ten hours
- Divided into four sessions
- Four separate days
- No session should exceed 3 hours in length
- Audio-Visual not to exceed 25% of total class time

RISK EDUCATION

- Pre and post-test administered, 75% must be scored on the post test
- No more than 24 participants per session
- Issuance of DSRS certificate of completion
- Notify entities of change in level or termination

RISK EDUCATION CURRICULUM

- I) information on alcohol as a drug;
- 2) physiological and pharmacological effects of alcohol and other drugs, including their residual impairment on normal levels of driving performance;
- 3) other drugs, legal and illegal, and their effects on driving when used separately and/or in combination with alcohol;
- 4) substance abuse/dependence and the effect on individuals and families;

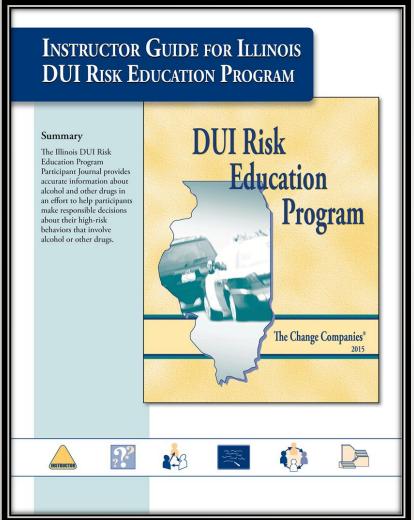
2060.505 c)

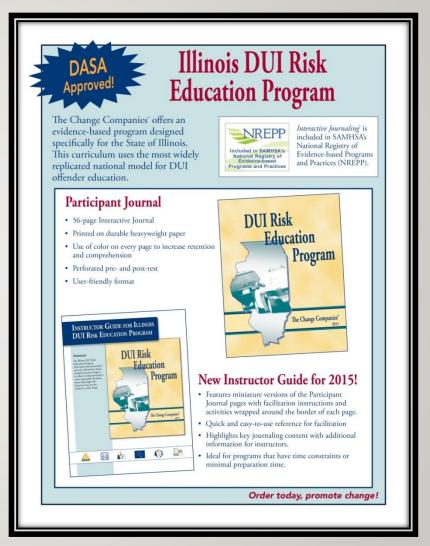
RISK EDUCATION CURRICULUM

- 5) blood alcohol concentration (BAC) level and its effect on driving performance;
- 6) information about Illinois driving under the influence laws and associated penalties;
- 7) factors that influence the formation of patterns of alcohol and drug abuse; and
- 8) information about referrals for services that can address any identified problem that may increase the risk for future alcohol/drug related difficulty.

SUPRAPPROVED DUI RISK

EDUCATION





Curriculum meets 2060.505 requirements
Use of Change Companies materials is optional

MINIMUM COMPONENTS OF A RISK EDUCATION RECORD

- Copies of the following:
 - Alcohol and Drug Evaluation Uniform Report
 - pre- and post-test specifying percentage score
 - DUI Risk Education Certificate of Completion
- Additionally when applicable:
 - Notice of Involuntary Termination from DUI Risk
 Education form
 - notification regarding a change in the risk level assignment and intervention

2060.505 o) Section 2060.325

MOST COMMON MISTAKES IN EDUCATION RECORDS

Incomplete client file

- No Uniform Report in client file
- No pre and post test in client file
- No Consent To Serve Agreement
- No Client Rights Statement
- 2060.325 record keeping items missing

Unqualified Staff

DRUNK AND DRUGGED DRIVING PREVENTION FUND

Purpose - to serve indigent evaluation and risk education clients

Funding as available, not guaranteed \$135 for Evaluations and \$100 for Risk Education

Funded by drivers license reinstatement fee as a result of a DUI arrest

Cannot be used for non DUI evaluations

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- Purpose to serve indigent evaluation and risk education clients
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DDDPF ELIGIBILITY

Established income guidelines of the U.S.
 Department of Health and Human
 Services

Any public entity that utilizes income guidelines established by the US Department of Health and

Human Services and has verified a person eligibility could possibly be utilized. Confirmation that the agency does use the guidelines should be made.

DDDPF ELIGIBILITY

• Established income guidelines of the U.S. Department of Health and Human Services

Pay check stubs, SSI, Medicaid documents

Notarized affidavit of assets and liabilities, unemployment security documentation

Pension information, Retirement information

DDDPF ELIGIBILITY

Examples of back-up documentation to prove eligibility :

- Pay check stubs
- SSI
- Medicaid
- IDPA Recipient (ID card/award letter)
- Notarized affidavit of assets and liabilities
- Unemployment Security Documentation Pension information
- Retirement information

\$\$\$\$\$ DDDPF Funds are Recoupable \$\$\$\$\$

THE NEW AND IMPROVED DUI SERVICE REPORTING SYSTEM

- The eDSRS Web Based System
- Requires internet service
- The eDSRS Manual is on line only
 - DDDPF requirements are in this manual
- EACH STAFF MEMBER MUST HAVE THEIR OWN –
 UNIQUE EMAIL ADDRESS



Illinois Departmentof Human Services

DUI Service Reporting System (eDSRS) User Reference Manual

Management Information Systems 01 July 2021

Division of Substance Use Prevention and Recovery

ELECTRONIC DUI SERVICE REPORTING SYSTEM (EDSRS) FOR INTERVENTION PROGRAMS

eDSRS Purpose:

- \$\$\$\$ billing indigent clients to DDDPF\$\$\$\$
- Collect and report statistics
- DUI Evaluation Alcohol and Drug Uniform Reports
- Risk Education completion certificates
- Refusal or incomplete forms

REGISTRATION

- Corporate Representative (I Per agency) Registration Website
- https://dui2.dhs.illinois.gov/duipublic/duireg/dynamic/registration/registration.jsf
- DHS/SUPR verify & approve/disapprove
- Corporate Representative Initially approves Provider Administrator
- Provider Administrator Initially approves staff and roles:
 - Entrant (counselor)
 - Fiscal

REGISTRATION

Unified Health Systems eDSRS Registration Required Fields Completion of this form is required in order to receive appropriate system access to the Illinois Department of Human Services DUI Service Reporting System (eDSRS) application. The email address submitted will become the User ID for the individual and information entered on this page. Worker Information Email Address:* Re-Type Email Address:* Last Name:* First Name:* Middle Initial: Provider: * I am responsible for the overall operations (Provider Representative) I am responsible for the daily business operations (Provider Administration) I am responsible for the financial aspect and bill submissions (Provider Fiscal Operations) I am responsible for entering Evaluation and/or Risk Education information (Provider Entrant) Appropriate credential(s) must be entered. 2000 Character Space To Tell The "Story" History Submit Cancel

REGISTRATION

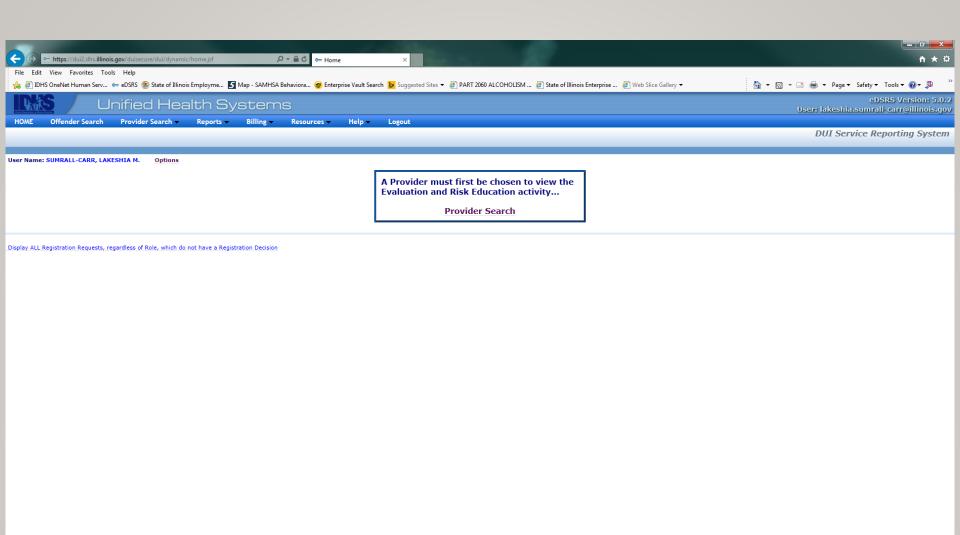
I have attended a DUI Orientation session: * C Yes C No	
*** At least one Expiration Date must be entered ***	
Credentials	Expiration Date (mm/dd/yyyy)
Certified Advanced Alcohol & Other Drug Abuse Counselor (CAADC)	
Certified Alcohol & Drug Counselor (CADC)	
Certified Alcohol, Tobacco & Other Drug Abuse Preventionist (CADP) - Risk Ed Only	
Certified Assessment & Referral Specialist (CARS)	
Certified Reciprocal Alcohol & Other Drug Abuse Counselor (CRADC)	
Certified Senior Alcohol, Tobacco & Other Drug Abuse Preventionist (CSADP) - Risk Ed Only	
Certified Supervisor Alcohol & Other Drug Abuse Counselor (CSADC)	
Doctor of Medicine (MD)	
Doctor of Osteopathy (DO)	
Licensed Clinical Professional Counselor (LCPC)	
Licensed Clinical Psychologist (LCP)	
Licensed Clinical Social Worker (LCSW)	
Licensed Professional Counselor (LPC)	
Licensed Social Worker (LSW)	

PROVIDER ADMINISTRATOR ROLES

- Initially approves staff registration
 - Final approval for all agency staff is SUPR
- Updates certification or license expiration dates
- Opens completed files
 - 60 days for Risk Education or voucher
 - 180 days evaluation or voucher
- Manages general day to day operations

REGISTRATION PROCESS

- Process for being approved for eDSRS access:
- Once you have registered a confirmation email will be sent to you to validate your email address. Check your inbox and all spam folders for this confirmation.
- Once you have confirmed your email address. An email will be sent to your organization
 representative to confirm you can register under their organization. This can be approved two ways.
 First an approval email will be sent to the organization representative for you to register under
 their organization. Please check all mail boxes, sometimes this can be found in the spam/junk folder.
 Second an organization representative can approve someone from their dashboard when they log
 into eDSRS.
- After the request is approved by the organization representative the request is then sent to IL-DHS-SUPR for approval. If approved you will receive an email informing you the registration has been approved. If denied you will be giving an explanation as to why and how it can be corrected.
- The registration web site is: https://dui2.dhs.illinois.gov/duipublic/duireg/dynamic/registration/registration.sr



EDSRS

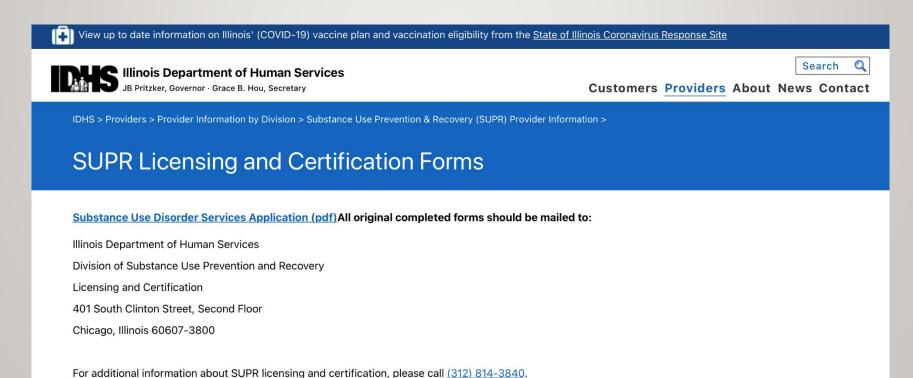
Systems Questions:

- Password & Access Problems email
- Organization License number, Organization name, your registered email address
- DoIT.DHS.MisSecurity@ILLINOIS.GOV
 - Response time - 24 Business Hours
- All other issues email

DoIT.DHS.UHSINFO@ILLINOIS.GOV

ADDITIONAL QUESTIONS

- Email SUPR Help Desk Clinical or 2060 issue
- DoIT.SuprHelp@illinois.gov
- SUPR Web Sites: http://www.dhs.state.il.us



LICENSURE QUESTIONS

LICENSE AND COMPLIANCE QUESTIONS

Laura Garcia, Deputy Director of Bureau of Licensure, Compliance and Monitoring

Phone: <u>312-814-6357</u>

Email: Laura.Garcia@Illinois.gov

Questions and Complaints Related to Opioid Treatment Programs (Methadone):

Richard Weisskopf, State Opioid Treatment Authority

Phone: <u>312-814-6380</u>

Email: Richard.Weisskopf@Illinois.gov

Questions Regarding Compliance & Monitoring:

Kim Fornero, Administrator of Compliance and Monitoring

Phone Number: <u>312-793-1566</u>

Email: Kimberly.Fornero@Illinois.gov

Questions Regarding DUIs:

Illinois HELPLINE

Phone: <u>833-234-6343</u>

Webpage: https://helplineil.org

Questions Regarding Complaints:

Tracey Loggins, Assistant

Phone: <u>312-814-6357</u>

Email: Tracey.Loggins@Illinois.gov

Questions Regarding Licenses:

Octavia Saffold, Licensing and Certification

Phone Number: <u>312-814-5814</u>

Email: Octavia.Saffold@Illinois.gov

Questions Regarding Renewals:

Andrea Mayberry, Licensing and Certification

Phone: <u>312-793-1471</u>

Email: Andrea.Mayberry@illinois.gov

Questions Regarding Exceptions:

Oscar Colon, Licensing and Certification

Phone: 312-814-6390

Email: Oscar.Colon@illinois.gov

PRESENTER INFORMATION

- LaKeshia Sumrall-Carr, NCC, LCPC
- Grant Management Supervisor
- Illinois Department of Human Services
- Division Substance Use Prevention and Recovery
- 401 S Clinton, 2nd Floor
- Chicago, IL 60607
- Ph: 312-814-5826
- Fax: 312-814-1192
- Lakeshia.sumrall-carr@illinois.gov

DID WE MEET YOUR TRAINING GOALS?

- Increase participants Knowledge Skills & Attitudes (KSA) by meeting 2060. 311 b) & c) requirements.
- Improve provider and staff effectiveness in the delivery of services to their customers:
 - the public
 - clients
 - office of the secretary of state
 - local circuit court of venue
- Provide DHS/SUPR updates
- Answer <u>YOUR</u> questions



DAY I AM SESSION COMPLETED

