

Illinois Department of Human Services

Division of Substance Use Prevention and Recovery (SUPR)

DUI Service Provider Orientation

Andrea Winner, MS, CADAC

DUI Liaison / Compliance & Monitoring Specialist

Illinois Department of Human Services

Division of Substance Use Prevention and Recovery (SUPR)

Director

Laura Garcia, MAAPS, LPC, NCC, CADAC

Administrator of Compliance & Monitoring
Kim Fornero

Welcome

- Friendly Reminders:
 - Please remain muted unless speaking
 - Only one person speaking at a time
 - Be respectful and professional
 - Remain on topic
- Feel free to type questions in the chat box
- Email any questions to the SUPR Help Desk:
 - DoIT.SUPRHelp@illinois.gov

Introductions



Training Schedule

Introduction to the Division of Substance
Use Prevention and Recovery (SUPR)

~ Illinois Administrative Code - Part 2060

Day 1 – Morning Session

Day 1 – Afternoon Session

SUPR – Introduction to the electronic DUI
Service Reporting System (eDSRS)

Illinois State Police – The DUI arrest

Training Schedule

The DUI evaluation
~ electronic DUI reporting system
(eDSRS)

Day 2 – Morning Session

Day 2 – Afternoon Session

DUI Evaluation Objective Testing Tools
~ Adult Substance Use and Driving Survey –
Revised for IL (ASUDS-RI)

Training Schedule

Understanding the Secretary of State's
Administrative Hearing Process for DUI
Offenders

Day 3 – Morning Session

Day 3 – Afternoon Session

The DUI Hearing Process
Mock Hearing

Training Goals

- Provide an overview of the following:
 - Illinois Department of Human Services, Division of Substance Use Prevention and Recovery (IDHS/SUPR)
 - Illinois Administrative Code – Part 2060
 - Treatment Services
 - Intervention Services
 - Electronic DUI Service Reporting System (eDSRS)

Illinois Department of Human Services (IDHS)

- Created in 1997 to provide Illinois with streamlined access to integrated services
 - Assist those with moving from welfare to work
 - Gain economic independence
 - Support those facing multiple challenges to self-sufficiency
- IDHS – Divisions
 - Administration
 - Family & Community Services
 - Rehabilitation Services
 - Substance Use Prevention & Recovery
 - Developmental Disabilities
 - Mental Health
 - Early Childhood

Illinois Department of Human Services (IDHS)

- Charged with designing, coordinating and funding comprehensive and coordinated services
 - Community based
 - Culturally appropriate
 - Gender appropriate
- The single state authority for substance use disorder (SUD) in Illinois
 - The Department delegates these responsibilities to the Division of Substance Use Prevention and Recovery (SUPR)

Division of Substance Use Prevention and Recovery (SUPR)

- Coordinates the integrated SUD service delivery system within IDHS
 - Prevention, intervention and treatment
 - Services supported through state and federal funds
 - The Advisory Council on Substance Use Disorders
- Our mission
 - Provide a recovery-oriented system of care where individuals with SUD, in recovery and at risk are valued and treated with dignity and where stigma, accompanying attitudes, discrimination and other barriers to recovery are eliminated

Division of Substance Use Prevention and Recovery (SUPR)

- Develop, maintain, monitor and evaluate a statewide SUD recovery-oriented system of care
 - Business and Fiscal Operations
 - Licensure, Compliance and Monitoring
 - Planning, Performance Assessment and Federal Programs
 - Prevention Services
- Bureau of Licensure, Compliance and Monitoring
 - Regulatory oversight of the application process
 - Compliance visits
 - Investigate complaints
 - Serves as a resource

Services

- Early Intervention
- Outpatient Services
 - Intensive Outpatient Services
- Withdrawal Management
- Medication Assisted Treatment (MAT)
 - Methadone as an adjunct to treatment
- Residential Rehabilitation
- Recovery Home
- Ancillary Services

Ancillary Services, Ancillary Treatment, Intervention or Support Services

- Toxicology
- Case Management
- Community Intervention
- Recovery Home
- Medications
- Interpreter Services
- Psychiatric Evaluation
- Medication Monitoring
- Specialized Services/Projects
- Recovery Support Services

Extensive Treatment Provider Network

- To identify organizations licensed to provide intervention and treatment services. Also, recovery support information and resources to address overdose including Narcan:
 - Illinois Helpline for Opioid and Other Substances
 - 833-2FINDHELP
 - Call (833) 234-6343
 - Text “HELP” to 833234
 - <https://helplineil.org/>
 - SAMSHA Treatment Locator
 - <https://findtreatment.samhsa.gov/>

Important Resources

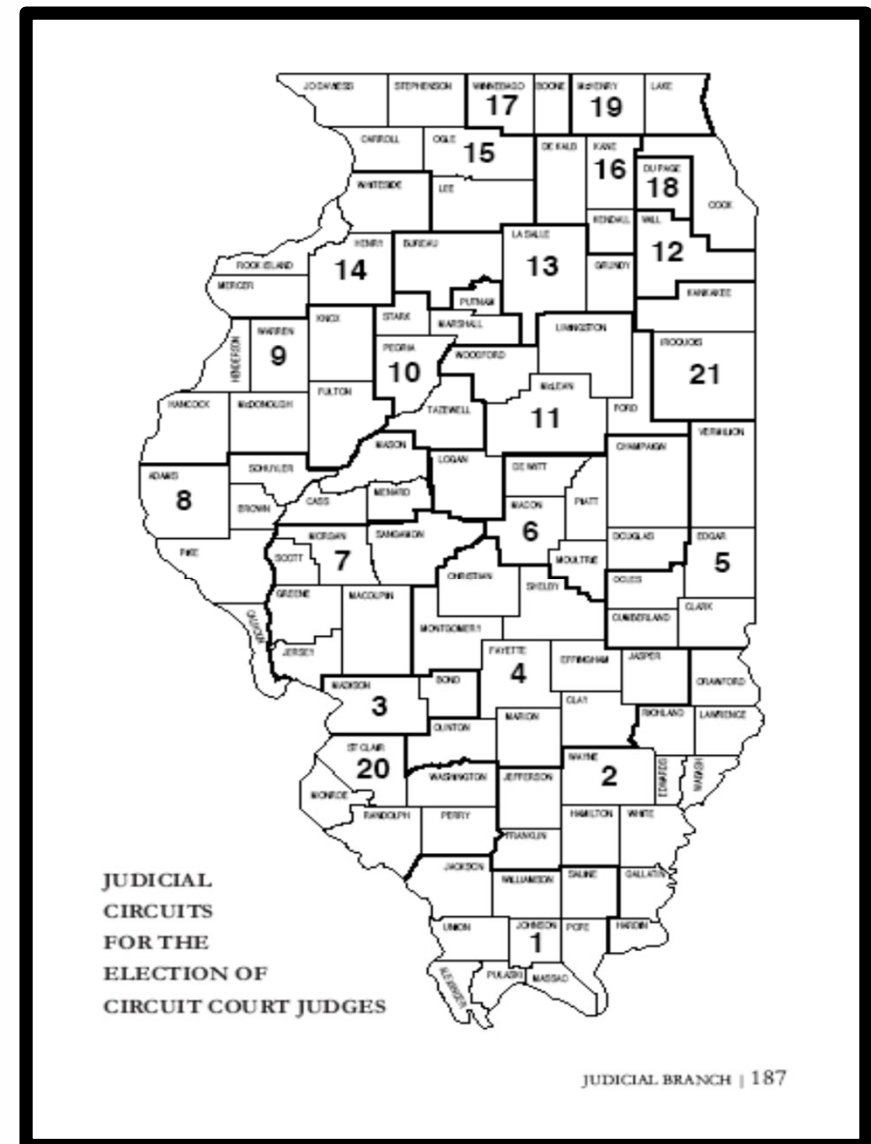
- 77 Illinois Administrative Code, Part 2060
- Diagnostic and Statistical Manual (DSM-5)
 - DSM-5-TR published March 2022
- American Society for Addiction Medicine (ASAM) Criteria (2013)
- IDHS/SUPR's Smart Alert Bulletins and Newsletters
 - <https://www.dhs.state.il.us/page.aspx?item=42567>
- IDHS/SUPR's Website
 - <https://www.dhs.state.il.us/page.aspx?item=29759>
- Illinois Helpline - Completely confidential
 - Call 833-2FINDHELP
 - Text "HELP" to 833234
 - Visit [HelplineIL.org](https://www.helplineil.org)

Important Resources

➤ Contact information for:

1. The Circuit Court of Venue
2. The Chief Judge
3. Parole/Probation Officers
4. Secretary of State Hearing Officers

➤ Maintain a current community resource guide



Time to Recap & Review



Illinois Administrative Code – Part 2060

- Overview

- Subpart A: General Requirements
 - Includes definitions used throughout Part 2060
- Subpart B: Licensure Requirements
- Subpart C: Requirements – ALL Licenses
- Subpart D: Requirements - Treatment Licenses
- Subpart E: Requirements – Intervention Licenses
 - 2060.503 DUI Evaluation
 - 2060.505 DUI Risk Education (DRE)
 - 2060.507 Designated Program
 - 2060.509 Recovery Homes

Subpart A: General Requirements

Section 2060.103 Incorporation by Reference and Definitions

- Act
- Admission
- Authorized Organization Representative
- Client
- Clinical Services
- Department
- Patient
- Professional Staff
- Support Staff
- Substance Abuse/Dependence
 - Substance Use Disorder (SUD)
- Alcohol and Drug Evaluation Uniform Report
- ASAM Patient Placement Criteria
 - ASAM Criteria (2013)
- Assessment
- DUI Evaluation
- Early Intervention
- Intervention
- Treatment
- Detoxification
 - Withdrawal Management

Subpart B: Licensure Requirements

- 2060.201 Types of Licenses

- Treatment

- Authorizes substance use disorder services as established in The American Society of Addiction Medicine (ASAM) Criteria (2013)
 - Level 1
 - Level .5 – Early Intervention
 - Level 2
 - Level 3
 - Level 4

- Intervention

- DUI Evaluation
 - DUI Risk Education
 - Designated Program
 - Recovery Home

Subpart B: Licensure Requirements

- 2060.203 Off-Site Delivery of Services
 - Licensable services delivered at a location separate from the licensed facility
- 2060.205 Unlicensed Practice
 - Issuance of an order to the organization or person to cease and desist
- 2060.207 Organization Representative
 - One individual designated as the authority for all operations and communications with IDHS/SUPR
- 2060.209 Ownership Disclosure
 - At the time of application, the names and address of all owners or controlling parties must be fully disclosed

Subpart B: Licensure Requirements

- 2060.211 License Application Forms
 - <https://www.dhs.state.il.us/page.aspx?item=32256>
 - All original completed forms should be mailed to:
Illinois Department of Human Services
Division of Substance Use Prevention and Recovery
Licensing and Certification
401 South Clinton Street, 2nd Floor
Chicago, IL 60607-3800
- 2060.213 Application Fees
 - \$200 for each facility license due at the time of application

Subpart B: Licensure Requirements

- 2060.215 Period of Licensure
 - Each license issued is effective for a period of three years unless otherwise specified
- 2060.217 License Processing/Review Requirements
- 2060.219 Renewal of Licensure
 - IDHS/SUPR will send/email a license renewal application at least 60 days prior to the expiration of the license
 - IDHS/SUPR shall receive the renewal application at least 30 days prior to the expiration of the license

Subpart B: Licensure Requirements

- 2060.221 Change of Ownership/Management
 - Licensure is not transferable
 - Written notification at least 10 calendar days prior to any change in premises and/or ownership
- 2060.223 Dissolution of the Corporation
 - A license is null and void upon any dissolution of the corporation
- 2060.225 Relocation of Facility
 - Written notification at least 30 calendar days prior to the relocation of any facility
- 2060.227 License Certificate Requirements
 - Displayed and visible to all clients/patients

15 Minute Break



Subpart C: Requirements – ALL Licenses

- 2060.301 Federal, State and Local Regulations and Court Rules
 - a) The Illinois Human Rights Act
 - b) The Americans with Disabilities Act
 - c) The Environmental Barriers Act and The Illinois Accessibility Code
 - d) The Age Discrimination Act
 - e) The 1991 Civil Rights Act
- 2060.303 Rule Exception Request Process
 - Requests must be submitted to and approved by IDHS/SUPR
 - Requests must be in writing and include the specific basis, rationale and need
 - Requests must be made by the authorized organization representative and on organization letterhead

Subpart C: Requirements – ALL Licenses

- 2060.303 Rule Exception Request Process
 - Submit formal exception request/letter to the attention of:
Laura Garcia, Director
Illinois Department of Human Services
Division of Substance Use Prevention and Recovery
401 South Clinton, 2nd Floor
Chicago, IL 60607
 - The letter should also be emailed to Oscar Colon and Trina Diedrich
 - Oscar.Colon@illinois.gov
 - Trina.Diedrich2@illinois.gov

Subpart C: Requirements – ALL Licenses

- 2060.305 Facility Requirements
 - Schedule C
 - Schedule C Attestation (Virtual Compliance Review/VCR)
 - Compliance with applicable provisions with the following:
 - 1) all local and state health, safety, sanitation, building and zoning codes;
 - 2) the National Fire Protection Association's (NFPA) Life Safety Code
 - 3) the facility requirements specified in the Environmental Barriers Act and the Illinois Accessibility Code
 - 4) the facility requirements specified in Section 12181 of the Americans with Disabilities Act
- 2060.307 Service Termination/Record Retention
 - Notify IDHS/SUPR at least 30 calendar days prior to the service termination date
 - Notify IDHS/SUPR of the location where records will be maintained and stored within 10 calendar days after the cessation of service

Subpart C: Requirements – ALL Licenses

- 2060.309 Professional Staff Qualifications
 - Clinical certification, licensed professional, licensed physician, licensed psychologist, licensed social worker
 - Providing only clinical assessments, DUI evaluations or designated program intervention services
 - Meet one of the qualifications specified in subsection (a)
 - Hold assessor certification as a Certified Assessment and Referral Specialist (CARS) from the Illinois Certification Board (ICB)/IAODAPCA
 - Withdrawal management services
 - Supervision
 - Meet requirements within two years after the date of employment
 - Two-year window does not start over with a new organization
 - Work under direct, verifiable supervision
 - Supervisory responsibility and meets requirements for professional staff specified in 23060.309 a)

Subpart C: Requirements – ALL Licenses

- 2060.309 Professional Staff Qualifications
 - All staff providing DUI Risk Education services must:
 - Meet one of the qualifications specified in 2060.309 a); or
 - Hold Alcohol and Other Drug Abuse (AODA) certification from ICB/IAODAPCA
 - Exceptions
 - IDHS/SUPR will consider exceptions to 2060.309 e) based upon timing of certification or licensure examinations and part-time employment
- 2060.311 Staff Training Requirements
 - Initial employee orientation (IEO)
 - Completed within the first seven days after employment

Subpart C: Requirements – ALL Licenses

- 2060.311 Staff Training Requirements
 - IEO topics shall include an overview of the following information:
 - All organization operations
 - Administrative Rule – Part 2060
 - Bloodborne pathogens and universal precautions
 - HIV and AIDS
 - Principles of patient confidentiality
 - All staff providing a DUI evaluation service must attend one complete DUI Service Provider Orientation training session within the first six months after employment
 - All staff providing a DUI risk education service must attend the first day of DUI Service Provider Orientation training session within the first twelve months after employment

Subpart C: Requirements – ALL Licenses

- 2060.313 Personnel Requirements and Procedures
 - All professional staff must be at least 18 years old
 - No convictions of any felony or any subsequent incarceration for at least two years prior to the date of employment
 - No suspension or revocation of driving privileges for an alcohol or other drug related driving offense for staff providing DUI intervention services
 - Schedule L for professional staff
 - Treatment licenses only - Schedule E for medical director, additional physicians and physician extenders
 - Schedule E for medical director, additional physicians and physician extenders
 - CANTS background check results (adolescent licenses)
 - Treatment for special populations delivered by appropriate professional staff
 - Written personnel policies and procedures
 - Personnel file requirements detailed in Section 2060.313 h) 1-7)
 - Personnel files maintained for five years from the date of termination

Subpart C: Requirements – ALL Licenses

- 2060.315 Quality Improvement (QI)
 - Section 2060.315 c) 1-6) details QI plan minimum requirements
 - Utilization review
 - Treatment – conducted in accordance with current ASAM Criteria (2013)
 - Intervention – DUI evaluation and DUI risk education - conducted in accordance with criteria established in Section 2060.503 and 2060.505 respectively
 - Develop and maintain a written policy and procedure manual that describes the operation of the organization
 - Approved by AOR or, if applicable BOD
 - Annual review and revision as necessary

Subpart C: Requirements – ALL Licenses

- **2060.317 Service Fees**
 - Fee schedule must specify fees charged for all treatment and intervention services and any other related services
 - Provided prior to the beginning of any service and estimate the amount the patient/client is responsible to pay
- **2060.319 Confidentiality – Patient Information**
 - Confidentiality of Alcohol and Drug Abuse Patient Records
 - Access to and use of protected health information governed by the Health Insurance Portability and Accountability Act
- **2060.321 Confidentiality – HIV Antibody/AIDS Status**
 - AIDS Confidentiality Act and the AIDS Confidentiality and Testing Code
 - Protects the identity of a person upon whom a test for HIV is performed
 - Protects the results of a test for HIV for an individual

Subpart C: Requirements – ALL Licenses

- 2060.323 Patient Rights
 - Posted in an area accessible to all patients/clients
 - Must include rights detailed in Section 2060.323 a) 1-8)
 - Attest by signature that the patient/client received a copy
- 2060.325 Patient/Client Records
 - A written or electronic record must be maintained for each patient/client.
 - Any written entry shall be in ink, dated and include the signer's signature/initials and credentials
 - All signatures or initials, whether written or electronic, must include the signer's credentials
 - Upon request, any patient/client record must be made available in hard copy for IDHS/SUPR

Subpart C: Requirements – ALL Licenses

- 2060.327 Emergency Patient Care
 - Plan for interruption of services to current patients
- 2060.329 Referral Procedure
 - Written referral procedures
 - Community resource directory
- 2060.331 Incident and Significant Incident Reporting
 - Incident
 - Significant incident
- 2060.333 Complaints
 - Non-compliance with Part 2060 by any organization or about any person suspected of providing unlicensed services
 - IDHS/SUPR's complaint poster always posted in an accessible area
- 2060.335 Inspections
 - Routine compliance reviews – currently conducted virtually

Time to Recap & Review



Subpart D: Requirements – Treatment Licenses

- 2060.401 Levels of Care (LOC)
 - In accordance with The ASAM Criteria – 3rd Edition
 - Level .5: Early Intervention
 - Level 1: Outpatient Services
 - Level 2: Intensive Outpatient/Partial Hospitalization Services
 - Level 2.1: Intensive Outpatient Services
 - Level 2.5: Partial Hospitalization Services
 - Level 3: Residential/Inpatient Services
 - Level 3.1: Clinically Managed Low-Intensity Residential Services
 - Level 3.5: Clinically Managed High-Intensity Residential Services (Adult)
 - Level 3.5: Clinically Managed Medium-Intensity Residential Services (Adolescent)
 - Level 3.7: Medically Monitored Intensive Inpatient Services (Adult)
 - Level 3.7: Medically Monitored High-Intensity Inpatient Services (Adolescent)
 - Level 4: Medically Managed Intensive Inpatient Services

Subpart D: Requirements – Treatment Licenses

- 2060.403 Court Mandated Treatment
 - Providing treatment to any individual under a specific court order that mandates treatment
 - Medical director develops admission criteria and associated clinical protocol allowing confirmation for admission and LOC placement without a SUD diagnosis
 - Deliver treatment per court order as long as there is clinical justification for intensity and duration of such treatment
- 2060.405 Detoxification (Withdrawal Management)
 - Medical director develops protocols and authorize procedures
 - Delivered in accordance with The ASAM Criteria – 3rd Edition (2013)
- 2060.407 Group Treatment
 - Didactic, education, groups should not exceed an average of 24 people
 - Counseling, process, groups should not exceed 16 patients

Subpart D: Requirements – Treatment Licenses

- 2060.409 Patient Education
 - May be provided individually or in a group
 - Must be documented in the patient record
 - Mandatory patient education must include:
 - Benefits and risks of all medication prescribed and/or laboratory tests performed by the organization's medical director/physician/physician extender
 - Initial AIDS risk reduction counseling and education services and tuberculosis information
- 2060.411 Recreational Activities
 - May be provided if identified in the treatment plan as an assessed need
 - Conducted under staff supervision and cannot average more than 1/4th of the treatment services received for any patient in any ASAM LOC

Subpart D: Requirements – Treatment Licenses

- 2060.413 Medical Services
 - Medical director (MD) to oversee all medical procedures
 - Notify IDHS/SUPR within 10 calendar days of any change in medical director
 - MD develops and authorizes a medical screen form minimally including 2060.413 b) 1) A-J) and designate factors that require physician review
 - Physical examinations
 - Linkage agreement with a licensed hospital for the provision of required services
- 2060.415 Infectious Disease Control
 - Tuberculosis control and services
 - Tuberculosis (TB) risk assessment of the facility (CDC worksheet)
 - Employee skin testing and management
 - Patient testing

Subpart D: Requirements – Treatment Licenses

- 2060.417 Assessment for Patient Placement
 - Conducted prior to admission to any LOC
 - The ASAM Criteria – 3rd Edition (2013)
 - Admission to Level .5 Early Intervention
 - Review of specific court supervision or probation conditions including prior substance use disorder screenings or evaluations
 - Sufficient assessment to screen for, or rule out, substance use related disorders
 - Admission to Level 1 – 4 care
 - An evaluation of the severity of the six dimensions established in The ASAM Criteria
 - A recommendation for LOC placement
 - A diagnostic impression as defined in the Diagnostic and Statistical Manual for Mental Disorders – 5th Edition (DSM-5)
 - March 2022 publication of the DSM-5-TR
 - Physician confirmation of diagnosis and initial patient placement
 - No later than 24 hours after admission to Level 4, 72 hours Level 3, and seven working days after admission to Level 1 and 2 care

Subpart D: Requirements – Treatment Licenses

- 2060.419 Assessment for Treatment Planning
 - Upon admission and initial patient placement in Levels 1-4 care, the clinical assessment continues to develop the treatment plan
 - Patient needs are determined through specific inquiry and analysis in the six dimensions established in The ASAM Criteria.
- 2060.421 Treatment Plans
 - At a minimum, based on the patient's presenting concerns documented in biopsychosocial assessment
 - Confirmed by MD with 24 hours after admission to Level 4, seven calendar days for Level 3 or Level 2 care, and 14 calendar days after admission for any patient in Level 1 care
 - Confirmation includes MD, professional staff and patient signature, date and credentials, if applicable
 - Written gender and culturally appropriate to each patient (Person-centered)

Subpart D: Requirements – Treatment Licenses

- 2060.421 Treatment Plans – continued
 - Problems - an injury, dysfunction or loss (NOT a diagnosis or “DUI”)
 - Goals - a statement to guide resolution or reduction of the problem
 - Objectives - observable and measurable signposts on the way to achieving goals
 - Methods - treatment services to be provided
 - Site (location), intensity and duration of services
 - Timetable for achieving the goals and objectives of treatment
 - Type of measurement (hours or days) specified in the initial treatment plan and each subsequent treatment plan (CSR)
- 2060.423 Continued Stay Review
 - Ongoing assessment of the patient’s progress using The ASAM Criteria’s adult and adolescent continued service and transfer/discharge criteria
 - Every 60 calendar days or after 10 hours of treatment for Level 1, every 30 days or after 27 hours of treatment for Level 2, every 14 calendar days for Level 3, and every 24 hours for Level 4
 - MD confirmation required for any change in Dimension 2 – Biomedical Conditions and Complications

Subpart D: Requirements – Treatment Licenses

- 2060.425 Progress Notes and Documentation of Service Delivery
 - Shall reflect patient progress and be consistent with the clinical assessment, LOC and expectation of progress
 - Can include summary of services delivered prior to each CSR
 - Progress notes must specify the name and credentials of staff providing the service be signed or initialed and dated by staff making the entry
 - Each service delivered should be documented in the patient record
 - Include specific type of service, location, date, time and duration of service
- 2060.427 Continuing Recovery Planning and Discharge
 - Continuing recovery plan required for patients no longer actively receiving treatment in, or no longer require, an ASAM LOC
 - Continuing recovery plan details covered in Section 2060.427 b) 1-4)
 - Organization must identify discharge and exclusionary criteria consistent with customary clinical standards
 - After discharge, the discharge summary is completed within 15 days
 - Discharge summary requirements detailed in Section 2060.427 c) 1-3)

Questions??



Subpart E: Requirements – Intervention Licenses

- Important statement opening Subpart E:
 - In addition to the provisions specified in this Subpart, all DUI evaluation, DUI risk education and designated program services shall meet all applicable provisions specified in Subparts A, B, and C of Part 2060. Recovery Homes shall meet all applicable provisions specified in Subpart A and B, as well as all provisions specified in Section 2060.509 of Part 2060.
- Definition – Intervention Services
 - Activities or services that assist persons and their significant others in coping with the immediate problems of substance abuse or dependence and in reducing their substance use
 - Such activities facilitate emotional and social stability and involve referring persons for treatment, as needed

Subpart E: Requirements – Intervention Licenses

- Section 2060.503 DUI Evaluation
- Section 2060.505 DUI Risk Education
- Section 2060.507 Designated Program
 - Organizations licensed to provide assessment and case management services for Illinois Courts
 - Must have a written agreement with the Chief Judge of each circuit court receiving services from the program
- Section 2060.509 Recovery Homes
 - Alcohol and drug free housing components that structure operations directed toward maintenance of sobriety for persons
 - A full-time Recovery Home Operator oversees daily operations
 - An onsite Recovery Home Manager oversees all recovery home activities

DUI Evaluation

- 2060.503 DUI Evaluation

- Purpose:

- To identify the offender's risk to public safety; and
 - To recommend an initial intervention to the DUI offender and to the circuit court of venue or the Office of Secretary of State

- Indigent DUI Offender

- Drunk and Drugged Driving Prevention Fund (DDDPF)
 - DUI evaluation services must be provided regardless of ability to pay

- All evaluations shall consist of a face-to-face individual interview

- COVID-19 Blanket Exceptions – Document!
 - Completed at a licensed location or via Telehealth – Document!
 - Ensure written policies and procedures support operations

- Each DUI Offender must be given a copy of the following:

- The Department's Informed Consent
 - The Department's Brochure – Guide to the DUI Process

DUI Evaluation - continued

➤ The DUI Evaluation Structure


- Collection of a comprehensive chronological history of substance use
- A determination of the extent to which the substance use has caused impairment(s) in major life areas
 - Marital, family, legal, social, emotional, vocational, physical and/or economic
- An analysis of the offender's verbal description of alcohol and drug related history, including family history of substance use
- An analysis of objective test results, the offenders driving record and the Law Enforcement Sworn Report
 - Adult Substance Use Driver Survey – Revised for Illinois (ASUDS-RI)
 - Driver Risk Inventory (DRI-II)

➤ Determination of the offender's risk to public safety

- High Risk, Significant Risk, Moderate Risk, Minimal Risk

➤ All sections of the Alcohol and Drug Evaluation Uniform Report must be completed and signed by the offender and evaluator at the facility

Informed Consent


JB Pritzker, Governor
100 South Grand Avenue East • Springfield, Illinois 62762
401 South Clinton Street • Chicago, Illinois 60607
Grace B. Hou, Secretary-designate

INFORMED CONSENT

In order to obtain an Alcohol and Drug Evaluation for the Circuit Court or the Office of the Secretary of State, I agree to provide the following information:

- A copy of my driving abstract or a written summary of my driving history obtained from the Office of the Secretary of State;
- The written results of any chemical testing or documentation of refusal of such testing that occurred after my arrest for driving under the influence of alcohol and/or other drugs (DUI); and
- Alcohol and drug use history from first use to present.

I also attest to the fact that I have not undergone any other alcohol and drug evaluation as a result of my DUI arrest or if I have, I agree to provide a copy of all such evaluations, if completed and/or the name and address of such program(s). I also give my consent for this program to obtain information from any program(s) where I previously began and/or completed any alcohol and drug evaluation relative to my arrest for DUI. I have read the Department of Human Services brochure entitled "DUI Processes and Evaluations" explaining the alcohol and drug evaluation procedure. I understand that I have the right to withdraw from this evaluation process at any time, refuse the completed alcohol and drug evaluation or seek a second opinion by obtaining another evaluation. I further understand that any information I do provide can be released to the Circuit Court, the Office of the Secretary of State or the Department of Human Services upon request. If I do not complete the evaluation or do not return to sign and obtain my copy of the evaluation within 30 days of its completion date, notice will be sent to the Circuit Court or the Office of the Secretary of State along with any relevant information pertaining to my involvement with this program.

Offender Signature _____ Date _____

Parent/Guardian Signature (If offender is under age 18) _____ Date _____

Witnessed: _____

Signature _____ Date _____

IF CONSENT IS NOT GIVEN, PLEASE INDICATE THAT YOU HAVE READ THIS FORM BY INITIALING ON THIS LINE. _____

- Identifies required documentation, confirms accuracy of information, and consents to communicate with other providers
- Located in eDSRS
 - "Resources" tab
 - Under "Forms"
 - English and Spanish versions
- Use current version

Referral List Verification



JB Pritzker, Governor

Grace B. Hou, Secretary-designate

100 South Grand Avenue East • Springfield, Illinois 62762
401 South Clinton Street • Chicago, Illinois 60607

REFERRAL LIST VERIFICATION FORM

I have been shown a listing of licensed DUI and/or substance abuse treatment programs. I understand that I may seek any necessary services at the program of my choice.

Offender Signature _____

Date _____

Evaluator Signature _____

Date _____



JB Pritzker, Governor

Grace B. Hou, Secretary-designate

100 South Grand Avenue East • Springfield, Illinois 62762
401 South Clinton Street • Chicago, Illinois 60607

FORMULARIO PARA VERIFICACIÓN DE LISTA DE REFERENCIAS

Se me ha mostrado la lista de programas de tratamiento para DUI y/o abuso de sustancias con licencia. Yo entiendo que puedo buscar los servicios necesarios en el programa que yo escoja.

Firma del Acusado _____

Fecha _____

Firma del Evaluador _____

Fecha _____

Minimum Components of a DUI Evaluation File

- DUI evaluation client file requirements:
 - Fee schedule prior to DUI services
 - The following signed documents
 - patient rights statement
 - consent to serve agreement
 - SUPR's Informed Consent
 - SUPR's Referral List Verification
 - DUI evaluation includes:
 - Substance use history from first use to present
 - Determination of the extent that substance use has caused impairment in major life areas
 - Analysis of client's description of the driving, legal, past treatment history and family history of substance use
 - Analysis of objective tests

Minimum Components of a DUI Evaluation File

- DUI evaluation client file requirements - continued:
 - Documentation of the offender's driving records and chemical test results
 - Completed Alcohol and Drug Uniform Report (eDSRS)
 - Signed and dated by the evaluator and client
- Additional documents when applicable:
 - Copy of the Notification of Incomplete/Refused DUI Evaluation form
 - Notification regarding a change in risk level classification and intervention recommendations

DUI Risk Education (DRE)

- 2060.505 DUI Risk Education (DRE)

- Purpose

- Orient offenders regarding the impact of alcohol and other drugs on individual behavioral and driving skills
 - Explore the personal ramifications of their own substance use

- Format

- Risk education courses shall include a minimum of 10 hours of classroom instruction, divided into at least four sessions held on different days
 - No session shall exceed three hours in length
 - Pre and post-test designed and administered
 - The offender must attend each session in its entirety and in proper sequence and achieve a score on the post-test of at least 75%
 - Audio-visual no more than 25% of the total class
 - No more than 24 participants in any one class

DUI Risk Education Curriculum

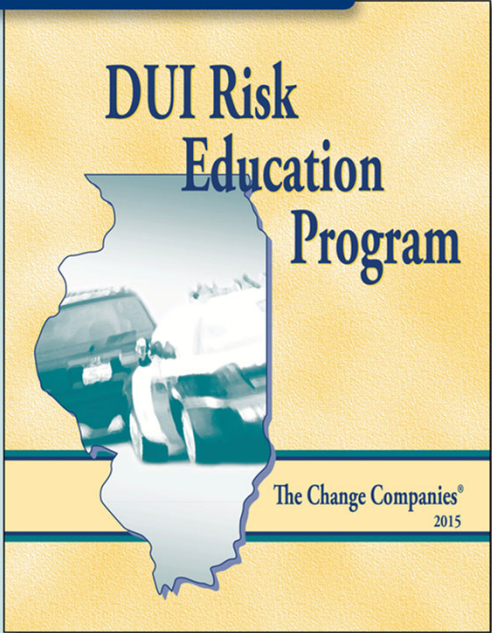
- 1) Information on alcohol as a drug
- 2) Physiological and pharmacological effects of alcohol and other drugs, including residual impairment on normal levels of driving performance
- 3) Other drugs, legal and illegal, and effects on driving when used separately and/or in combination with alcohol
- 4) Substance use disorder(s) and the effect on individuals and families
- 5) Blood alcohol concentration (BAC) level and its effect on driving performance
- 6) Information about Illinois driving under the influence laws and associated penalties
- 7) Factors that influence the formation of patterns of alcohol and drug abuse
- 8) Information about referrals for additional services addressing future risks







SUPR Approved DUI Risk Education Program

INSTRUCTOR GUIDE FOR ILLINOIS DUI RISK EDUCATION PROGRAM

Summary

The Illinois DUI Risk Education Program Participant Journal provides accurate information about alcohol and other drugs in an effort to help participants make responsible decisions about their high-risk behaviors that involve alcohol or other drugs.

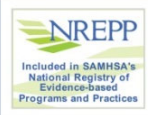


DASA Approved!

Illinois DUI Risk Education Program

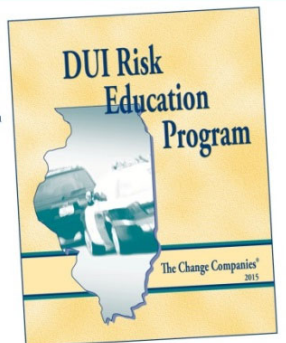
The Change Companies® offers an evidence-based program designed specifically for the State of Illinois. This curriculum uses the most widely replicated national model for DUI offender education.

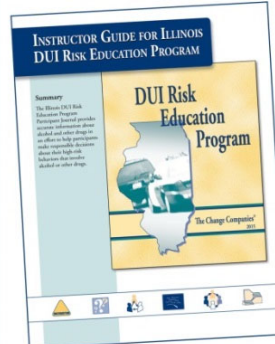


Interactive Journaling is included in SAMHSA's National Registry of Evidence-based Programs and Practices (NREPP).

Participant Journal

- 56-page Interactive Journal
- Printed on durable heavyweight paper
- Use of color on every page to increase retention and comprehension
- Perforated pre- and post-test
- User-friendly format





New Instructor Guide for 2015!

- Features miniature versions of the Participant Journal pages with facilitation instructions and activities wrapped around the border of each page.
- Quick and easy-to-use reference for facilitation
- Highlights key journaling content with additional information for instructors.
- Ideal for programs that have time constraints or minimal preparation time.

Order today, promote change!

Minimum Components of a DUI Risk Education File

- DUI Risk Education client file requirements:
 - Alcohol and Drug Evaluation Uniform Report
 - Pre- and post-test with percentage scores
 - DUI Risk Education Certificate of Completion
 - Signed and dated by DUI risk education instructor
- Additional documents when applicable:
 - Notice of Involuntary Termination from DUI Risk Education form
 - Notification regarding a change in risk level classification and intervention recommendations

Drunk and Drugged Driving Prevention Fund (DDDPF)

- To serve indigent evaluation and risk education clients
- Eligibility is established by income guidelines set forth by the United States Department of Health and Human Services
 - Income verification documentation
 - Paycheck stubs, social security statements, Medicaid documents, unemployment security documentation, pension/retirement information, or a notarized affidavit of assets and liabilities
- Funding as available, not guaranteed
 - DUI Evaluation \$135
 - DUI Risk Education \$110
- Reimbursement limited to one DUI evaluation service and one completed DUI risk education course per DUI episode (arrest)
- Funded by drivers license reinstatement fee as a result of a DUI arrest
- All completed through the eDSRS system
 - At least one staff member registered for the fiscal operations role

Electronic DUI Services Reporting System (eDSRS)

- Purpose

- DUI Evaluation

- Create the Alcohol and Drug Uniform Report

- DUI Risk Education

- Create the DUI Risk Education completion certificate

- DDDPF Billing

- Billing indigent clients

- Access and print IDHS/SUPR forms

- Informed Consent, Referral List Verification, Processes and Evaluations

- Collect and report statistics

- Registration

- <http://dui2.dhs.illinois.gov/duipublic/duireg>

eDSRS Security Roles

Security Role	Worker Responsibilities	Approving Entity
Provider Representative	Responsible for the overall operations at the provider	IDHS/SUPR
Provider Administration	Responsible for daily business operations. A list of workers awaiting approval will be displayed on the home page. Manages provider entrants (change status to active/inactive, update credentials, etc)	Initial: Provider Representative Final: IDHS/SUPR
Provider Fiscal Operations	Responsible for the financial aspect and approving DDDPF bills for submission to IDHS then tracking vouchers	Initial: Provider Administration Final: IDHS/SUPR
Provider Entrant	Responsible for entering Evaluation and/or Risk Education data (evaluator/instructor)	Initial: Provider Representative Final: IDHS/SUPR



eDSRS

Contact Information

- Questions concerning the eDSRS application, email the MIS Unified Health Systems Help Desk at DoIT.UhsInfo@Illinois.gov
- Questions concerning DUI policy, email the IDHS/SUPR Help Desk at DoIT.SuprHelp@illinois.gov



DUI Service Reporting System (eDSRS) User Reference Manual

01 July 2021

eDSRS Reports

State of Illinois
Department of Human Services
DUI Service Reporting System

Risk Education Statistics
01/01/2021 - 05/09/2022

Provider Name: [REDACTED]
Site Location: [REDACTED]
License Number: [REDACTED]

Offender Information

Number of Male Offenders:	34	Average Household Income:	\$19256
Number of Female Offenders:	7	Average Number of Dependents:	1
Average Offender Age:	43	Number Qualified as Indigent:	12

Risk Education Course Information

Average Pre-Test Score:	53
Average Post-Test Score:	91
Total Terminated Courses:	0
Total Completed Courses:	41

State of Illinois
Department of Human Services
DUI Service Reporting System

Evaluation Statistics
01/01/2021 - 05/09/2022

Provider Name: [REDACTED]
Site Location: [REDACTED]
License Number: [REDACTED]

Offender Information

Number of Male Offenders:	36	Average Household Income:	\$19660
Number of Female Offenders:	7	Average Number of Dependents:	2
Average Offender Age:	45	Number Qualified as Indigent:	7

Current DUI Arrest Information

Referral Source -		Blood-Alcohol Testing -	
Court:	37	B.A.C. Under the Limit:	0
Secretary of State:	3	B.A.C. Over the Limit:	22
Attorney:	0	Number Refusing Test:	13
Self:	3	Number Not Applicable:	8
Other:	0		
Most Significant Substances:	Alcohol, Marijuana		

Classification/Minimal Required Intervention

Minimal Risk:	13	Significant Risk:	13
Moderate Risk:	10	High Risk:	7

Evaluation Disposition

Total Number of Evaluations:	43	Total Completed:	42
		Total Not Completed:	1

Statistics

Average Number of Days Between Arrest Date & Beginning Date of Evaluation:	3656
Number of Evaluations Begun & Ending on the Same Day:	41
Average Number of Appointments:	1
Average Hours for Interviews:	1
Average Hours for Paperwork:	1

Classification Summary Report

State of Illinois
Department of Human Services
DUI Service Reporting System

Evaluation Classifications Summary
05/01/2021 — 05/24/2022

		***** CLASSIFICATIONS *****				Total Evaluations
License Number		Minimal	Moderate	Significant	High	
██████████ A		6 (33%)	2 (11%)	7 (38%)	3 (16%)	18
Organization		6 (33%)	2 (11%)	7 (38%)	3 (16%)	18
Total						
██████████		3 (16%)	1 (5%)	9 (50%)	5 (27%)	18
Organization		3 (16%)	1 (5%)	9 (50%)	5 (27%)	18
Total						
██████████		2 (10%)	0 (0%)	8 (40%)	10 (50%)	20
██████████		3 (15%)	3 (15%)	5 (25%)	9 (45%)	20
██████████		0 (0%)	0 (0%)	1 (100%)	0 (0%)	1
██████████		2 (12%)	1 (6%)	7 (43%)	6 (37%)	16
██████████		1 (11%)	5 (55%)	2 (22%)	1 (11%)	9
██████████		0 (0%)	3 (10%)	4 (13%)	22 (75%)	29
Organization		8 (8%)	12 (12%)	27 (28%)	48 (50%)	95
Total						
██████████		0 (0%)	0 (0%)	0 (0%)	1 (100%)	1
██████████		1 (3%)	3 (11%)	11 (40%)	12 (44%)	27
Organization		1 (3%)	3 (10%)	11 (39%)	13 (46%)	28
Total						

		***** CLASSIFICATIONS *****				Total Evaluations
Provider Name	License Number	Minimal	Moderate	Significant	High	
ORGANIZATION TOTALS:		18 (11%)	18 (11%)	54 (33%)	69 (43%)	159

Additional Questions

- IDHS/SUPR's Website
 - <https://www.dhs.state.il.us/page.aspx?item=29759>
- IDHS/SUPR Contact Information
 - Link to website's contact page
 - <https://www.dhs.state.il.us/page.aspx?item=143739>
 - SUPR Help Desk
 - DoIT.SUPRHelp@illinois.gov